

# RESTRICTION OF SIEGEL MODULAR FORMS TO MODULAR CURVES

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ABSTRACT. We study homomorphisms from the ring of Siegel modular forms of a given degree to the ring of elliptic modular forms for a congruence subgroup. These homomorphisms essentially arise from the restriction of Siegel modular forms to modular curves. These homomorphisms give rise to linear relations among the Fourier coefficients of a Siegel modular form. We use this technique to prove that  $\dim S_4^{10} = 1$ .

## §1. Introduction.

A Siegel modular cusp form of degree  $n$  has a Fourier series  $f(\Omega) = \sum_t a(t)e(\text{tr}(\Omega t))$  where  $t$  runs over  $\mathcal{X}_n$ , the set of positive definite semi-integral  $n \times n$  forms. If we restrict attention to cusp forms of even weight then the Fourier coefficients are class functions of  $t$ . The vector space  $S_n^k$  of cusp forms of weight  $k$  is finite dimensional and so there exist finite subsets  $\mathcal{S} \subset \text{classes}(\mathcal{X}_n)$  such that the projection map  $\text{FS}_{\mathcal{S}} : S_n^k \rightarrow \mathbb{C}^{\mathcal{S}}$  given by  $f \mapsto \prod_{[t] \in \mathcal{S}} a(t)$  is injective. The following Theorem [13, p. 218] gives one such  $\mathcal{S}$  that is readily computable from  $n$  and  $k$ . Instead of ordering semi-integral forms  $t$  by their determinant  $\det(t)$  we order them by their dyadic trace  $w(t)$ . Denote by  $\mathcal{P}_n(\mathbb{F})$  the positive definite  $n \times n$  symmetric matrices with coefficients in  $\mathbb{F} \subseteq \mathbb{R}$ . The dyadic trace  $w : \mathcal{P}_n(\mathbb{R}) \rightarrow \mathbb{R}^+$  is a class function and only a finite number of classes from  $\mathcal{X}_n$  will have a dyadic trace below any fixed bound, see [13].

**Theorem 1.1.** *Let  $n, k \in \mathbb{Z}^+$ . Let  $\mathcal{S} = \{[t] : t \in \mathcal{X}_n \text{ and } w(t) \leq n \frac{2}{\sqrt{3}} \frac{k}{4\pi}\}$ . The map  $\text{FS}_{\mathcal{S}} : S_n^k \rightarrow \mathbb{C}^{\mathcal{S}}$  is injective.*

This Theorem allows one to deduce equality in  $S_n^k$  from equality on the Fourier coefficients for  $\mathcal{S}$ . There are two obvious avenues for improvement. First, as is evident from Table 1, the bound  $\dim S_n^k \leq \text{card}(\mathcal{S})$  is tractable but crude and we would like to trim down the set  $\mathcal{S}$  to make  $\text{card}(\mathcal{S})$  closer to  $\dim S_n^k$ . Second, the image  $\text{FS}_{\mathcal{S}}(f)$  determines  $f$  and one would like to compute some Fourier coefficients outside of  $\mathcal{S}$  directly from the Fourier coefficients in  $\mathcal{S}$ . This paper realizes both improvements. We give a method for producing linear relations on the Fourier coefficients of the elements in  $S_n^k$ . Table 1 gives  $\dim S_4^k$ ,  $\text{card}(\mathcal{S})$  and examples of linear relations for even  $k \leq 12$ . These are the only even weights for which  $\dim S_4^k$  is known and the result  $\dim S_4^{10} = 1$  is a new one.

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**Table 1.**

$k$	$\dim S_4^k$	$\text{card}(\mathcal{S})$	linear relations
2	0	0	
4	0	0	
6	0	1	$a(\frac{1}{2}D_4) = 0$
8	1	2	$a(\frac{1}{2}D_4) + a(\frac{1}{2}A_4) = 0$
10	1	10	see equations (3.3)
12	2	23	21 uncomputed relations

For  $k \leq 4$  we have  $\mathcal{S} = \emptyset$  and so Theorem 1.1 by itself proves  $S_4^k = 0$ , results due to Christian [2] and Eichler [4][5]. For  $k = 6$  we have  $\mathcal{S} = \{[\frac{1}{2}D_4]\}$  and the method in this paper provides the linear relation  $a(\frac{1}{2}D_4) = 0$  so that we conclude  $\dim S_4^6 = 0$ . For  $k = 8$  we have  $\mathcal{S} = \{[\frac{1}{2}D_4], [\frac{1}{2}A_4]\}$  and the method provides the linear relation  $a(\frac{1}{2}D_4) + a(\frac{1}{2}A_4) = 0$  showing that  $\dim S_4^8 \leq 1$ . The Schottky form  $J$  is in  $S_4^8$  [9] so we have  $\dim S_4^8 = 1$ , see [14][11][3] for these results. For  $k = 10$  the  $\mathcal{S}$  consists of the ten classes in Table 3 and the method provides the nine linearly independent relations given in equation 3.3. We know the cusp form  $G_{10}$  is in  $S_4^{10}$ , see [13, p. 232], so that we have  $\dim S_4^{10} = 1$ , a result that has been beyond the reach of other methods [12][3]. By the work of Erokhin  $\dim S_4^{12} = 2$  is already known, see [6][7][11]. Linear relations among Fourier coefficients for semi-integral forms not solely in  $\mathcal{S}$  allow the computation of Fourier coefficients outside of  $\mathcal{S}$ .

The method of producing linear relations on Fourier coefficients from  $S_n^k$  relies on the homomorphisms  $\phi_s^* : S_n^k \rightarrow S_1^{nk}(\Gamma_0(\ell))$  which exist for any  $s \in \mathcal{P}_n(\mathbb{Z})$  and any  $\ell \in \mathbb{Z}^+$  with  $\ell s^{-1}$  integral. We write elements of  $\Gamma_1 = \text{Sp}_1(\mathbb{Z})$  as  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  and define the subgroup  $\Gamma_0(\ell)$  by  $\ell|c$  and the subgroup  $\Delta_1$  by  $c = 0$ . We define  $\phi_s(\tau) = s\tau$  so that for  $f \in M_n^k$  we have  $(\phi_s^* f)(\tau) = f(s\tau)$ . There are three important points about these homomorphisms: (1) The image ring  $M_1(\Gamma_0(\ell))$  is amenable to computation. (2) The Fourier coefficients of  $\phi_s^* f$  at each cusp are linear combinations of the Fourier coefficients of  $f$ , see Proposition 2.3. (3) There are lots of  $n \times n$  integral forms  $s$ . The first point allows us to work out the linear relations among the Fourier coefficients at all cusps of elements in  $S_1^{nk}(\Gamma_0(\ell))$ . The second point induces linear relations on the Fourier coefficients of elements in  $S_n^k$  from the linear relations on  $S_1^{nk}(\Gamma_0(\ell))$ . The third point allows us to continue producing linear relations if more are desired.

We illustrate the technique in weights 6 and 8 when the number of Fourier coefficients remains small. Let  $f \in S_4^k$  have the Fourier expansion  $f(\Omega) = \sum_t a(t)e(\langle \Omega, t \rangle)$  where  $\langle \Omega, t \rangle = \text{tr}(\Omega t)$ . Let  $D_4$  represent the  $4 \times 4$  form of this root lattice ( $D_4 = 2B_0$  from Table 3). We compute the Fourier expansion of  $\phi_{D_4}^* f$  in powers of  $q = e(\tau)$ . For any  $s \in \mathcal{P}_n(\mathbb{Q})$  we expand  $\phi_s^* f$  into a Fourier series as

$$(\phi_s^* f)(\tau) = \sum_{j \in \mathbb{Q}^+} \left( \sum_{t: \langle s, t \rangle = j} a(t) \right) q^j.$$

For simplicity we will henceforth assume that  $k$  is even. If we introduce the notation

$\mathcal{V}(j, s, t) = \text{card}\{v \in \mathcal{X}_n : [v] = [t], \langle v, s \rangle = j\}$  then we can write

$$(1.2) \quad (\phi_s^* f)(\tau) = \sum_{j \in \mathbb{Q}^+} \left( \sum_{[t]} \mathcal{V}(j, s, t) a(t) \right) q^j.$$

Table 2 is a table of the representation numbers  $\mathcal{V}(j, D_4, t)$  for  $j \leq 7$ , omitted entries are zero. See Table 3 for the list of  $B_0, B_1, \dots, B_9$ .

Table 2.  $\mathcal{V}(j, D_4, t)$ .

j	$B_0$	$B_1$	$B_2$	$B_3$	$B_4$	$B_5$	$B_6$	$B_7$	$B_8$	$B_9$
4	1									
5	16	48								
6	144	288	216	48	12					
7	384	1488	864	288	144	432	240	288	48	16

Thus we have the following expansion:

$$(1.3) \quad \begin{aligned} (\phi_{D_4}^* f)(\tau) = & a(B_0)q^4 + (16a(B_0) + 48a(B_1))q^5 \\ & + (144a(B_0) + 288a(B_1) + 216a(B_2) + 48a(B_3) + 12a(B_4))q^6 \\ & + (384a(B_0) + 1488a(B_1) + 864a(B_2) + 288a(B_3) + 144a(B_4) + 432a(B_5) \\ & + 240a(B_6) + 288a(B_7) + 48a(B_8) + 16a(B_9))q^7 + \dots \end{aligned}$$

The function  $\phi_{D_4}^* f \in S_1^{4k}(\Gamma_0(2))$  is invariant under the Fricke operator because  $D_4^{-1}$  is equivalent to  $\frac{1}{2}D_4$ , see Proposition 2.2. The ring  $M_1(\Gamma_0(2))$  is generated by  $E_{2,2}^- \in M_1^2(\Gamma_0(2))$  and  $E_{4,2}^- \in M_1^4(\Gamma_0(2))$  and the ring of cusp forms is principally generated by  $C_{8,2}^+ \in S_1^8(\Gamma_0(2))$ . The  $\pm$  superscript indicates an eigenvalue of  $\pm 1$  under the Fricke operator. In general we define  $E_{k,d}^\pm(\tau) = (E_k(\tau) \pm d^{\frac{k}{2}} E_k(d\tau)) / (1 \pm d^{\frac{k}{2}})$  where the  $E_k(\tau) = 1 - \frac{2k}{B_k} \sum_{n=1}^{\infty} \sigma_{k-1}(n)q^n$  are the Eisenstein series and the  $B_k$  are given by  $t/(e^t - 1) = \sum_{k=0}^{\infty} B_k t^k / k!$ . We have  $E_{k,d}^\pm \in M_1^k(\Gamma_0(d))$  except in the case of  $E_{2,d}^+$ . The Fourier expansions of these generators are given by

$$E_{2,2}^-(\tau) = 1 + 24 \sum_{n=1}^{\infty} (\sigma_1(n) - 2\sigma_1(n/2)) q^n = 1 + 24q + 24q^2 + 96q^3 + 24q^4 + 144q^5 + \dots$$

$$E_{4,2}^-(\tau) = 1 - 80 \sum_{n=1}^{\infty} (\sigma_3(n) - 4\sigma_3(n/2)) q^n = 1 - 80q - 400q^2 - 2240q^3 - 2960q^4 - \dots$$

$$C_{8,2}^+(z) = \frac{1}{256} \left( E_{2,2}^-(\tau)^4 - E_{4,2}^-(\tau)^2 \right) = q - 8q^2 + 12q^3 + 64q^4 - 210q^5 - 96q^6 - \dots$$

The vanishing order of  $\phi_{D_4}^* f$  at the cusp  $[I]$  is at least 4 and because  $\phi_{D_4}^* f$  is an eigenfunction of the Fricke operator the vanishing order at the cusp  $[J]$  is the same. Thus we have  $(C_{8,2}^+)^4 | \phi_{D_4}^* f$  in  $M_1(\Gamma_0(2))$ . For  $k = 6$  this means  $\phi_{D_4}^* f = 0$  and so every coefficient in equation 1.3 gives a homogeneous linear relation; in particular we must have  $a(B_0) = 0$  (or  $a(\frac{1}{2}D_4) = 0$ ) and hence by Theorem 1.1 we have  $S_4^6 = 0$ . For  $k = 8$  there is a parameter  $c \in \mathbb{C}$  such that

$$\phi_{D_4}^* f = c(C_{8,2}^+)^4 = c(q^4 - 32q^5 + 432q^6 - 2944q^7 + 7192q^8 + 39744q^9 - \dots).$$

Elimination of the parameter  $c$  provides the following 3 linear relations for any  $f \in S_4^8$ .

$$\begin{aligned}
(1.4) \quad & a(B_0) + a(B_1) = 0; \\
& -24a(B_0) + 24a(B_1) + 18a(B_2) + 4a(B_3) + a(B_4) = 0; \\
& 208a(B_0) + 93a(B_1) + 54a(B_2) + 18a(B_3) + 9a(B_4) + 27a(B_5) \\
& \quad + 15a(B_6) + 18a(B_7) + 3a(B_8) + a(B_9) = 0.
\end{aligned}$$

As mentioned, the first relation alone,  $a(\frac{1}{2}D_4) + a(\frac{1}{2}A_4) = 0$  (note  $B_1 = \frac{1}{2}A_4$ ), implies that  $\dim S_4^8 \leq 1$ .

For  $k = 10$  there are parameters  $\alpha, \beta \in \mathbb{C}$  such that  $\phi_{D_4}^* f = (C_{8,2}^+)^4 (\alpha(E_{2,2}^-)^4 + \beta C_{8,2}^+)$ . The element  $(E_{2,2}^-)^2 E_{4,2}^-$  cannot occur in this representation because it has eigenvalue  $-1$  under the Fricke operator. Elimination of the parameters  $\alpha$  and  $\beta$  provides two linear relations:

$$\begin{aligned}
(1.5) \quad & 224a(B_0) = 184a(B_1) + 18a(B_2) + 4a(B_3) + a(B_4); \\
& 21376a(B_1) = -16110a(B_2) - 3916a(B_3) - 1231a(B_4) - 1512a(B_5) - 840a(B_6) \\
& \quad - 1008a(B_7) - 168a(B_8) - 56a(B_9).
\end{aligned}$$

In conjunction with Theorem 1.1 these two relations imply  $\dim S_4^{10} \leq 8$  but it will require another homomorphism  $\phi_H^* : S_4^{10} \rightarrow S_1^{40}(\Gamma_0(6))$  and a more extensive computation to prove that  $\dim S_4^{10} \leq 1$ .

## §2. Propositions.

We let  $\Gamma_n = \mathrm{Sp}_n(\mathbb{Z})$ . We write elements of  $\mathrm{Sp}_n(\mathbb{R})$  as  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$ . The group  $\mathrm{Sp}_n(\mathbb{R})$  acts on functions from the right via  $(f|_k \begin{pmatrix} A & B \\ C & D \end{pmatrix})(\Omega) = \det(C\Omega + D)^{-k} f((A\Omega + B)(C\Omega + D)^{-1})$ .

**Proposition 2.1.** *Let  $n, \ell \in \mathbb{Z}^+$ . Let  $s, ls^{-1} \in \mathcal{P}_n(\mathbb{Z})$ . The map  $\phi_s^* : M_n^k \rightarrow M_1^{nk}(\Gamma_0(\ell))$  is a graded ring homomorphism.*

*Proof.* For  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{Sp}_1(\mathbb{R})$  we have

$$\begin{aligned}
(\phi_s^* f|_{nk} \begin{pmatrix} a & b \\ c & d \end{pmatrix})(\tau) &= (c\tau + d)^{-nk} f\left(\frac{a\tau + b}{c\tau + d} s\right) \\
&= (c\tau + d)^{-nk} f((a\tau s + bs)(cs^{-1}\tau s + dI)^{-1}) \\
&= (c\tau + d)^{-nk} f\left(\begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix} \cdot \tau s\right) \\
&= (c\tau + d)^{-nk} \det(cs^{-1}\tau s + dI)^k (f|_k \begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix})(\tau s) = (f|_k \begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix})(\tau s).
\end{aligned}$$

If we now assume that  $\sigma \in \Gamma_0(\ell)$  then  $cs^{-1}$  is integral and so  $\begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix} \in \mathrm{Sp}_n(\mathbb{Z})$ . Therefore we have  $(f|_k \begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix})(\tau s) = f(\tau s) = \phi_s^* f(\tau)$ . It is straightforward to see that  $\phi_s^* f$  is holomorphic on  $\mathcal{H}_1$  and that it is bounded on domains of type  $\{\tau \in \mathcal{H}_1 : \mathrm{Im}\tau > y_0\}$ . Thus we have  $\phi_s^* : M_n^k \rightarrow M_1^{nk}(\Gamma_0(\ell))$ .  $\square$

For  $\ell \in \mathbb{Z}^+$  let  $W_\ell = \frac{1}{\sqrt{\ell}} \begin{pmatrix} 0 & -1 \\ \ell & 0 \end{pmatrix}$  denote the Fricke involution.

**Proposition 2.2.** *Let  $n, \ell \in \mathbb{Z}^+$ . Let  $s, \ell s^{-1} \in \mathcal{P}_n(\mathbb{Z})$ . Let  $f \in M_n^k$ . Assume that  $s$  is  $\mathrm{GL}_n(\mathbb{Z})$ -equivalent to  $\ell s^{-1}$ . Then  $\phi_s^* f \in M_1^{nk}(\Gamma_0(\ell))$  is an eigenfunction of the Fricke operator  $W_\ell$ . The eigenvalue is  $+1$  unless  $s$  is improperly equivalent to  $\ell s^{-1}$  and  $k$  is odd in which case  $\phi_s^* f$  has eigenvalue  $-1$  under  $W_\ell$ .*

*Proof.* When  $s$  is equivalent to  $\ell s^{-1}$  we have  $UsU' = \ell s^{-1}$  for some  $U \in \mathrm{GL}_n(\mathbb{Z})$ . We will show that  $(\phi_s^* f) | W_\ell = \det(U)^k \phi_s^* f$ . The factor  $\det(U)^k$  is one except in the case noted.

We first check that  $\phi_s \circ W_\ell = \begin{pmatrix} 0 & U^* \\ -U & 0 \end{pmatrix} \circ \phi_s$ . For every  $\tau \in \mathcal{H}_1$  we have

$$\begin{aligned} (\phi_s \circ W_\ell)(\tau) &= \phi_s \left( -\frac{1}{\ell\tau} \right) = -\frac{1}{\ell} s\tau^{-1} = -U^* s^{-1} U^{-1} \tau^{-1} = U^* (-Us\tau)^{-1} \\ &= \begin{pmatrix} 0 & U^* \\ -U & 0 \end{pmatrix} (s\tau) = \left( \begin{pmatrix} 0 & U^* \\ -U & 0 \end{pmatrix} \circ \phi_s \right) (\tau). \end{aligned}$$

Noting that  $\begin{pmatrix} 0 & U^* \\ -U & 0 \end{pmatrix} \in \Gamma_n$  we compute

$$\begin{aligned} \left[ (\phi_s^* f) \Big|_{nk} W_\ell \right] (\tau) &= (\sqrt{\ell}\tau)^{-nk} (\phi_s^* f)(W_\ell(\tau)) = (\sqrt{\ell}\tau)^{-nk} (f \circ \phi_s \circ W_\ell)(\tau) \\ &= (\sqrt{\ell}\tau)^{-nk} \left( f \circ \begin{pmatrix} 0 & U^* \\ -U & 0 \end{pmatrix} \circ \phi_s \right) (\tau) = (\sqrt{\ell}\tau)^{-nk} \det(-Us\tau)^k f(\phi_s(\tau)) \\ &= (-\sqrt{\ell})^{-nk} \det(U)^k \det(s)^k (\phi_s^* f)(\tau) = \det(U)^k (\phi_s^* f)(\tau). \end{aligned}$$

In the last line above we have used the fact that  $\det(s)^2 = \ell^n$  and that when  $nk$  is odd we must have  $f$  identically zero.  $\square$

The next Proposition shows how to develop the Fourier expansion of  $\phi_s^* f$  at any cusp.

**Proposition 2.3.** *Let  $n \in \mathbb{Z}^+$ . Let  $s \in \mathcal{P}_n(\mathbb{Q})$ . Let  $f \in S_n^k$  have the Fourier expansion  $f(\Omega) = \sum_t a(t) e(\langle \Omega, t \rangle)$ . Let  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1$ . There exist  $\mathcal{A}, \mathcal{B} \in \mathbb{Q}^{n \times n}$  such that  $\begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix} \in \Gamma_n \begin{pmatrix} \mathcal{A} & \mathcal{B} \\ 0 & \mathcal{A}^* \end{pmatrix}$  and for any such  $\mathcal{A}, \mathcal{B}$  we have*

$$\left( (\phi_s^* f) \Big|_{nk} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) (\tau) = (\det \mathcal{A})^k f(\tau \mathcal{A} s \mathcal{A}' + \mathcal{B} \mathcal{A}') = (\det \mathcal{A})^k \sum_{j \in \mathbb{Q}^+} \left( \sum_{t: \langle \mathcal{A} s \mathcal{A}', t \rangle = j} a(t) e(\langle t, \mathcal{B} \mathcal{A}' \rangle) \right) q^j$$

*Proof.* We now wish to study  $(\phi_s^* f | \begin{pmatrix} a & b \\ c & d \end{pmatrix})$  for  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{Sp}_1(\mathbb{Z})$ . Then as in the proof of Proposition 2.1 we have

$$\left( (\phi_s^* f) \Big|_{nk} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) (\tau) = (f | \begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix}) (\tau s).$$

Now, we can always decompose any matrix in  $\mathrm{Sp}_n(\mathbb{Q})$  as something in  $\mathrm{Sp}_n(\mathbb{Z})$  times something in  $\mathrm{Sp}_n(\mathbb{Q})$  with  $C = 0$  [8, p. 125]. So let  $\begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix} \in \Gamma_n \begin{pmatrix} \mathcal{A} & \mathcal{B} \\ 0 & \mathcal{A}^* \end{pmatrix}$ . Since  $f$  is automorphic with respect to  $\Gamma_n$  we have

$$\left( (\phi_s^* f) \Big|_{nk} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) (\tau) = (f | \begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix}) (\tau s) = (f | \begin{pmatrix} \mathcal{A} & \mathcal{B} \\ 0 & \mathcal{A}^* \end{pmatrix}) (\tau s) = (\det \mathcal{A})^k f(\tau \mathcal{A} s \mathcal{A}' + \mathcal{B} \mathcal{A}').$$

The Fourier expansion for  $(\phi_s^* f | \begin{pmatrix} a & b \\ c & d \end{pmatrix})(\tau)$  follows from the Fourier expansion for  $f$  under the substitution  $\Omega = \tau \mathcal{A} s \mathcal{A}' + \mathcal{B} \mathcal{A}'$ .  $\square$

The above Proposition provides for the computation of the Fourier expansion of  $\phi_s^* f | \sigma$  in general. When  $\ell$  is squarefree however the computation of the character  $e(\langle t, \mathcal{B} \mathcal{A}' \rangle)$  may be finessed. We introduce a new notation: Notice that  $\mathcal{A}$  in Proposition 2.3 is determined up to  $u\mathcal{A}$  with  $u \in \mathrm{GL}_n(\mathbb{Z})$ . Thus  $\mathcal{A} s \mathcal{A}'$  is determined up to equivalence class. We define

$$s \square \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \mathcal{A} s \mathcal{A}'$$

with the understanding that this is well-defined only up to equivalence class. Since  $f$  is automorphic with respect to  $\begin{pmatrix} u & 0 \\ 0 & u^* \end{pmatrix}$ , we have  $f(usu'\tau) = f(s\tau)$  and it makes sense to talk about  $f((s \square \begin{pmatrix} a & b \\ c & d \end{pmatrix})\tau)$  and  $\phi_{s \square \begin{pmatrix} a & b \\ c & d \end{pmatrix}}^* f$ .

**Proposition 2.4.** *Let  $s \in \mathcal{P}_n(\mathbb{Z})$ . Let  $\ell \in \mathbb{Z}^+$  such that  $\ell s^{-1}$  is integral and primitive. Let  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1$ . Suppose  $\gcd(c, \frac{\ell}{c}) = 1$ . Let  $\hat{c} \in \mathbb{Z}$  such that  $\hat{c}c \equiv 1 \pmod{\frac{\ell}{c}}$ . For any  $\mathcal{A}$  with  $\begin{pmatrix} aI & Bs \\ cs^{-1} & dI \end{pmatrix} \in \Gamma_n \begin{pmatrix} \mathcal{A} & \mathcal{B} \\ 0 & \mathcal{A}^* \end{pmatrix}$  we have*

$$(\phi_s^* f | \begin{pmatrix} a & b \\ c & d \end{pmatrix})(\tau) = (\det \mathcal{A})^k \phi_{s \square \begin{pmatrix} a & b \\ c & d \end{pmatrix}}^* f(\tau + d\hat{c}).$$

*Proof.* We have  $\begin{pmatrix} \mathcal{A} & \mathcal{B} \\ 0 & \mathcal{A}^* \end{pmatrix} \begin{pmatrix} aI & bs \\ cs^{-1} & dI \end{pmatrix}^{-1} \in \mathrm{Sp}_n(\mathbb{Z})$ . Thus we have

$$(2.5) \quad \begin{pmatrix} \mathcal{A} & \mathcal{B} \\ 0 & \mathcal{A}^* \end{pmatrix} \begin{pmatrix} dI & -bs \\ -cs^{-1} & aI \end{pmatrix} = \begin{pmatrix} d\mathcal{A} - c\mathcal{B}s^{-1} & -b\mathcal{A}s + a\mathcal{B} \\ -c\mathcal{A}^*s^{-1} & a\mathcal{A}^* \end{pmatrix} \in \mathrm{Sp}_n(\mathbb{Z}).$$

Note that each of the four blocks must be in  $\mathbb{Z}^{n \times n}$ . Multiplying  $d\mathcal{A} - c\mathcal{B}s^{-1}$  by the integral  $s$  implies  $d\mathcal{A}s - c\mathcal{B}$  is integral. Both  $\mathcal{A}s$  and  $\mathcal{B}$  are integral because we have

$$\begin{aligned} \mathcal{A}s &= a(d\mathcal{A}s - c\mathcal{B}) + c(-b\mathcal{A}s + a\mathcal{B}), \\ \mathcal{B} &= b(d\mathcal{A}s - c\mathcal{B}) + d(-b\mathcal{A}s + a\mathcal{B}). \end{aligned}$$

Since  $c\mathcal{B}s^{-1} = \frac{c}{\ell}\mathcal{B}\ell s^{-1}$  and  $\ell s^{-1} \in \mathbb{Z}^{n \times n}$ , we have  $c\mathcal{B}s^{-1} \in \frac{c}{\ell}\mathbb{Z}^{n \times n}$ . This combined with  $d\mathcal{A} - c\mathcal{B}s^{-1} \in \mathbb{Z}^{n \times n}$  implies  $d\mathcal{A} \in \frac{c}{\ell}\mathbb{Z}^{n \times n}$ . Also we have  $\mathcal{A} = \frac{1}{\ell}(\mathcal{A}s)\ell s^{-1} \in \frac{1}{\ell}\mathbb{Z}^{n \times n}$  and consequently  $\mathcal{A} = a(d\mathcal{A}) - b(c\mathcal{A}) \in \frac{c}{\ell}\mathbb{Z}^{n \times n}$ . Since  $\mathcal{A}s$  is integral, its transpose  $s\mathcal{A}'$  is also integral. Then multiplying  $d\mathcal{A} - c\mathcal{B}s^{-1}$  by the integral  $\hat{c}s\mathcal{A}'$  implies that  $d\hat{c}\mathcal{A}s\mathcal{A}'$  and  $\hat{c}c\mathcal{B}\mathcal{A}'$  differ by an integer matrix. But  $\hat{c}c \equiv 1 \pmod{\frac{\ell}{c}}$  and  $\mathcal{B}\mathcal{A}' \in \frac{c}{\ell}\mathbb{Z}^{n \times n}$  imply that  $\hat{c}c\mathcal{B}\mathcal{A}'$  and  $\mathcal{B}\mathcal{A}'$  differ by an integer matrix. Hence  $d\hat{c}\mathcal{A}s\mathcal{A}'$  and  $\mathcal{B}\mathcal{A}'$  differ by an integer matrix. Finally, from Proposition 2.3 we have  $(\det \mathcal{A})^{-k}(\phi_s^* f | \begin{pmatrix} a & b \\ c & d \end{pmatrix})(\tau) =$

$$f(\tau \mathcal{A} s \mathcal{A}' + \mathcal{B} \mathcal{A}') = f(\tau \mathcal{A} s \mathcal{A}' + d\hat{c}\mathcal{A} s \mathcal{A}') = f(\mathcal{A} s \mathcal{A}'(\tau + d\hat{c})) = \phi_{s \square \begin{pmatrix} a & b \\ c & d \end{pmatrix}}^* f(\tau + d\hat{c}). \quad \square$$

### §3. The space $S_4^{10}$ .

We will apply the technique of the Introduction to  $S_4^{10}$ . Theorem 1.1 says a form in  $S_4^{10}$  is determined by its coefficients  $a(t)$  with  $w(t) \leq 3.5$ . Table 3 gives the list of these 10 quadratic forms, see [10][13]. For uniformity of notation we will refer to these

as  $B_0, \dots, B_9$ . Here the number under  $\ell$  for  $B_i$  is the smallest positive integer such that  $\ell(2B_i)^{-1}$  is integral.

Table 3. Semi-integral quaternary forms with dyadic trace  $\leq 3.5$ .

Name	Form	Dyadic trace	16-Determinant	$\ell$
$B_0$	$\frac{1}{2} \begin{pmatrix} 2 & 1 & 1 & 1 \\ 1 & 2 & 0 & 0 \\ 1 & 0 & 2 & 0 \\ 1 & 0 & 0 & 2 \end{pmatrix}$	2	4	2
$B_1$	$\frac{1}{2} \begin{pmatrix} 2 & 1 & 0 & 1 \\ 1 & 2 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 1 & 0 & 1 & 2 \end{pmatrix}$	2.5	5	5
$B_2$	$\frac{1}{2} \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 1 \\ 0 & 0 & 2 & 0 \\ 1 & 1 & 0 & 2 \end{pmatrix}$	3	8	4
$B_3$	$\frac{1}{2} \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 2 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & 1 & 2 \end{pmatrix}$	3	9	3
$B_4$	$\frac{1}{2} \begin{pmatrix} 2 & 1 & 1 & 1 \\ 1 & 2 & 0 & 0 \\ 1 & 0 & 2 & 0 \\ 1 & 0 & 0 & 4 \end{pmatrix}$	3	12	6
$B_5$	$\frac{1}{2} \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 1 & 0 & 0 & 2 \end{pmatrix}$	3.5	12	6
$B_6$	$\frac{1}{2} \begin{pmatrix} 2 & 1 & 1 & 0 \\ 1 & 2 & 0 & 1 \\ 1 & 0 & 2 & 0 \\ 0 & 1 & 0 & 4 \end{pmatrix}$	3.5	13	13
$B_7$	$\frac{1}{2} \begin{pmatrix} 2 & 1 & 0 & 1 \\ 1 & 2 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 1 & 0 & 1 & 4 \end{pmatrix}$	3.5	17	17
$B_8$	$\frac{1}{2} \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 1 \\ 0 & 0 & 2 & 1 \\ 1 & 1 & 1 & 4 \end{pmatrix}$	3.5	20	10
$B_9$	$\frac{1}{2} \begin{pmatrix} 2 & 1 & 1 & 1 \\ 1 & 2 & 0 & 1 \\ 1 & 0 & 4 & 2 \\ 1 & 1 & 2 & 4 \end{pmatrix}$	3.5	25	5

We will apply the technique to  $H = 2B_4$  for which  $6H^{-1}$  is integral. By Proposition 2.1 we have  $\text{Im } \phi_H^* f \subset M_1(\Gamma_0(6))$  and our calculations will occur inside this ring. The ring  $M_1(\Gamma_0(6))$  is generated by three forms  $A, B, C$  of weight 2. There is one relation  $C^2 = 9B^2 - 8A^2$ . The ring of cusp forms is principally generated by a form of weight 4,  $D = \frac{1}{4}(A^2 - B^2)$ . There are 4 cusps in  $\Gamma_0(6) \backslash \Gamma_1 / \Delta_1$ , represented by  $I, \sigma_2 = \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}, \sigma_3 = \begin{pmatrix} 1 & 0 \\ 3 & 1 \end{pmatrix}$  and  $J$  with respective widths 1, 3, 2 and 6. We now give the Fourier expansions of the generators at all four cusps. The definition of  $E_{2,2}^-$  has already been given, similarly define  $E_{2,3}^-(\tau) = 1 + 12 \sum_{n=1}^{\infty} (\sigma_1(n) - 3\sigma_1(n/3))q^n = 1 + 12(q + 3q^2 + q^3 + 7q^4 + 6q^5 + \dots)$ . Define the following elements in  $M_1(\Gamma_0(6))$ :

$$\begin{aligned} A(\tau) &= (3/4)E_{2,2}^-(3\tau) + (1/4)E_{2,2}^-(\tau) = 1 + 6q + 6q^2 + 42q^3 + \dots, \\ B(\tau) &= (2/3)E_{2,3}^-(2\tau) + (1/3)E_{2,3}^-(\tau) = 1 + 4q + 20q^2 + 4q^3 + \dots, \\ C(\tau) &= (3/2)E_{2,2}^-(3\tau) - (1/2)E_{2,2}^-(\tau) = 1 - 12q - 12q^2 - 12q^3 + \dots. \end{aligned}$$

The elliptic modular forms  $A, B, C$  transform nicely as

$$\begin{aligned} (A|J)(\tau) &= -\frac{1}{6}A(\tau/6), & (A|\sigma_2)(\tau) &= +\frac{1}{3}A((\tau-1)/3), & (A|\sigma_3)(\tau) &= -\frac{1}{2}A((\tau-1)/2) \\ (B|J)(\tau) &= -\frac{1}{6}B(\tau/6), & (B|\sigma_2)(\tau) &= -\frac{1}{3}B((\tau-1)/3) & (B|\sigma_3)(\tau) &= +\frac{1}{2}B((\tau-1)/2) \\ (C|J)(\tau) &= +\frac{1}{6}C(\tau/6), & (C|\sigma_2)(\tau) &= -\frac{1}{3}C((\tau-1)/3) & (C|\sigma_3)(\tau) &= -\frac{1}{2}C((\tau-1)/2) \end{aligned}$$

We use Propostions 2.3 and 2.4 to work out the Fourier expansion of  $\phi_H^* f | \sigma$  for  $\sigma = I, \sigma_2, \sigma_3, J$ . We implement the algorithms from [8, pp.125, 322-328] to produce a factorization  $\begin{pmatrix} aI & bH \\ cH^{-1} & dI \end{pmatrix} \in \Gamma_n \begin{pmatrix} A & B \\ 0 & A^* \end{pmatrix}$  and obtain  $\det(\mathcal{A})$  and  $H \square \sigma = \mathcal{A} H \mathcal{A}'$ . We display  $H \square \sigma_2, H \square \sigma_3, H \square J$  and mention that the associated  $|\det(\mathcal{A})|$  equals 3, 4, 12, respectively:

$$H \square \sigma_2 = \frac{1}{3} \begin{pmatrix} 4 & 2 & 1 & -1 \\ 2 & 4 & -1 & 1 \\ 1 & -1 & 4 & -1 \\ -1 & 1 & -1 & 4 \end{pmatrix}; H \square \sigma_3 = \frac{1}{2} \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 1 \\ 0 & 0 & 2 & 1 \\ 1 & 1 & 1 & 3 \end{pmatrix}; H \square J = \frac{1}{6} \begin{pmatrix} 2 & 1 & 1 & 1 \\ 1 & 5 & -1 & 2 \\ 1 & -1 & 5 & 2 \\ 1 & 2 & 2 & 5 \end{pmatrix}$$

Note that all three of the cases  $\sigma_2$ ,  $\sigma_3$ ,  $J$  satisfy the hypotheses of Proposition 2.4. Note that for  $c = 2$ , we can take  $\hat{c} = -1$  so that  $c\hat{c} = 1 \pmod{3}$ ; for  $c = 3$ , we can take  $\hat{c} = -1$  so that  $c\hat{c} = 1 \pmod{2}$ . Thus we have

$$(3.1) \quad \begin{aligned} (\phi_H^* f|I)(\tau) &= \phi_H^* f(\tau), \\ (\phi_H^* f|\sigma_2)(\tau) &= 3^{-10} \phi_{H \square \sigma_2}^* f(\tau - 1), \\ (\phi_H^* f|\sigma_3)(\tau) &= 4^{-10} \phi_{H \square \sigma_3}^* f(\tau - 1), \\ (\phi_H^* f|J)(\tau) &= 12^{-10} \phi_{H \square J}^* f(\tau). \end{aligned}$$

Hence the Fourier expansions may be computed from the numbers  $\mathcal{V}(j, H \square \sigma, t)$  given in Tables 4, 5, 6 and 7. Among the computations we perform, the computation of these representation numbers is by far the most expensive.

Table 4.  $\mathcal{V}(j, H, t)$ 

j	$B_0$	$B_1$	$B_2$	$B_3$	$B_4$	$B_5$	$B_6$	$B_7$	$B_8$	$B_9$
6	1									
7	12	36								
8	96	168	114	24	6					
9	196	760	384	108	60	168	108	96	12	4

Table 5.  $\mathcal{V}(j, H \square \sigma_2, t)$ 

j	$B_0$	$B_1$	$B_2$	$B_3$	$B_4$	$B_5$	$B_6$	$B_7$	$B_8$	$B_9$
10/3	1									
11/3	4	12								
12/3	24	24	6							
13/3	12	96	24	12						
14/3	78	192	120	24	6	12				1
15/3	144	312	192	24	28	84	48	36		

Table 6.  $\mathcal{V}(j, H \square \sigma_3, t)$ 

j	$B_0$	$B_1$	$B_2$	$B_3$	$B_4$	$B_5$	$B_6$	$B_7$	$B_8$	$B_9$
6/2	5	4								
7/2	24	72	12							
8/2	120	264	138	48	15	12	12			



Table 7.  $\mathcal{V}(j, H \square J, t)$ 

j	$B_0$	$B_1$	$B_2$	$B_3$	$B_4$	$B_5$	$B_6$	$B_7$	$B_8$	$B_9$
10/6	1									
11/6		8								
12/6	24	12	6		1					
13/6	24	96	12				8			

From these expansions we see that  $\phi_H^* f$  vanishes to order at least 6 at every cusp so that there are parameters  $\alpha_0, \dots, \alpha_8$  and  $\beta_0, \dots, \beta_7 \in \mathbb{C}$  such that

$$\phi_H^* f = (D)^6 (\alpha_0 A^8 + \alpha_1 A^7 B + \dots + \alpha_8 B^8 + C(\beta_0 A^7 + \beta_1 A^6 B + \dots + \beta_7 B^7)).$$

Without introducing any new parameters we also have equalities for any  $\sigma \in \Gamma_1$ :

$$(3.2) \quad \phi_H^* f|_\sigma = (D|\sigma)^6 (\alpha_0 (A|\sigma)^8 + \dots + \alpha_8 (B|\sigma)^8 + (C|\sigma)(\beta_0 (A|\sigma)^7 + \dots + \beta_7 (B|\sigma)^7)).$$

For  $\sigma = I, \sigma_2, \sigma_3, J$  the left side of equation 3.2 is computed from equations 3.1, equation 1.2 and Tables 4 through 7. The right side is computed from the expansions of the elliptic modular forms  $A, B$  and  $C$ . At the cusp  $[I]$  we equate the coefficients for  $j = 6, \dots, 9$ ; at the cusp  $[\sigma_2]$  for  $j = 6/3, \dots, 15/3$ ; at the cusp  $[\sigma_3]$  for  $j = 6/2, \dots, 8/2$  and at the cusp  $[J]$  for  $j = 6/6, \dots, 13/6$ . Elimination of the 17 parameters  $\alpha_i, \beta_i$  from the  $4 + 10 + 3 + 8 = 25$  linear equations results in 8 linearly independent equations:

$$\begin{aligned} a(B_2) &= -86/21a(B_0) - 188/21a(B_1) \\ a(B_3) &= 100/3a(B_0) + 58/3a(B_1) \\ a(B_4) &= -300/7a(B_0) + 24/7a(B_1) \\ a(B_5) &= -1892/21a(B_0) + 568/21a(B_1) \\ a(B_6) &= 288/7a(B_0) - 53/7a(B_1) \\ a(B_7) &= 2860/63a(B_0) - 8738/63a(B_1) \\ a(B_8) &= 656/7a(B_0) + 3872/7a(B_1) \\ a(B_9) &= 21016/21a(B_0) + 15532/21a(B_1). \end{aligned}$$

When we combine these 8 linear relations with the 2 linear relations in equation 1.5 obtained by considering  $\phi_{D_4}^*$ , we see that the rank is actually 9, so that we have a total of 9 linearly independent relations in  $a(B_0), \dots, a(B_9)$ :

$$(3.3) \quad \begin{aligned} a(B_1) &= 2a(B_0) \\ a(B_2) &= -22a(B_0) \\ a(B_3) &= 72a(B_0) \\ a(B_4) &= -36a(B_0) \\ a(B_5) &= -36a(B_0) \\ a(B_6) &= 26a(B_0) \\ a(B_7) &= -232a(B_0) \\ a(B_8) &= 1200a(B_0) \\ a(B_9) &= 2480a(B_0). \end{aligned}$$

These relations and Theorem 1.1 imply that  $\dim S_4^{10} \leq 1$ . Since we can come up with one nonzero cusp form  $G_{10}$  in  $S_4^{10}$  we have a theorem.

**Theorem 3.4.** *We have  $\dim S_4^{10} = 1$  and  $S_4^{10} = \mathbb{C}G_{10}$ .*

#### §4. Final Comments.

The computations that have been performed for the form  $H$  are largely independent of the weight  $k$ . Applied to the space  $S_4^8$  we may extend the Fourier expansion of the Schottky form  $J$  beyond that given in [1]. Table 8 gives the Fourier coefficients  $a(B_i)$  for  $J/2^{16}$  and  $G_{10}/2^{18}3^45$ .

**Table 8. (Fourier Coefficients)**

$f$	$B_0$	$B_1$	$B_2$	$B_3$	$B_4$	$B_5$	$B_6$	$B_7$	$B_8$	$B_9$
$J/2^{16}$	1	-1	2	6	-12	-12	11	2	-72	116
$G_{10}/2^{18}3^45$	1	2	-22	72	-36	-36	26	-232	1200	2480

Although the parameters  $\alpha_i$  and  $\beta_i$  were simply eliminated in section §3, their values are also determined by this process. It may be of interest to present the images of  $\phi_s^* f$  for  $s = D_4, H$  and  $f = J, G_{10}$ .

$$\begin{aligned}\phi_{D_4}^* J &= 2^{16}(C_{8,2}^+)^4 \\ \phi_{D_4}^* G_{10} &= 2^{18}3^45(C_{8,2}^+)^4((E_{2,2}^-)^4 + 48C_{8,2}^+) \\ \phi_H^* J &= 2^{12}D^6(A+C)^4 \\ \phi_H^* G_{10} &= 2^{14}3^35D^6(A+C)^4(25A^4 - 8A^3B - 7A^3C - 8A^2BC - ABC^2 + 4AC^3 - BC^3 - C^4)\end{aligned}$$

It is interesting to note that the image of  $G_{10}$  comes out to a multiple of the image of  $J$  under both  $\phi_{D_4}^*$  and  $\phi_H^*$ . As a final comment we note that linear relations among Fourier coefficients can be viewed as linear relations among Poincare series.

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