

# IVRG WEEK 5 FROM GROUPS TO EQUATIONS

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## SETUP

First, make sure the file “DecomposeGAction.txt” is saved in your home directory. We will load it later. It contains a `Magma` program I wrote for you to use.

I learned a way to save your `Magma` session, but it works best if you run these commands at the beginning. If you type

```
> SetLogFile("ivrg9-13-2010.txt");
```

then `Magma` will start a log file with that string as its filename. At the end of the day, we'll run

```
> UnsetLogFile();
```

## 1. INTRODUCTION

Last time, we defined studied the curve  $C$  given by the points  $[x : y : z] \in \mathbb{P}^2$  such that  $x^4 + y^4 + z^4 = 0$ . We used `Magma` to compute its automorphism group. Over  $\mathbb{Q}$ , we found  $\text{Aut}(C) \cong S_4$ . Over  $\mathbb{Q}[i]$ , we found  $\text{Aut}(C) \cong \langle 96, 64 \rangle$ .

This week we will reverse the process. Suppose you know that the group  $\langle 96, 64 \rangle$  is the automorphism group of a curve of genus 3. How can we find polynomial equations for  $C$ ?

This is the goal for the semester. As mentioned before, we have lists and lists of groups which are the automorphism groups of curves. I know how to compute lots of things given equations for a curve. So given a group, I want to be able to find equations.

This week we'll consider the example  $\langle 96, 64 \rangle$  in detail. Again, we already know the answer is  $x^4 + y^4 + z^4 = 0$ .

STEP 1: DETERMINE HOW MANY AND WHAT KIND OF POLYNOMIALS YOU ARE LOOKING FOR

There are two types of curves of genus 3: *hyperelliptic* and *non-hyperelliptic*.

**Definition 1.1** *A curve  $C$  is hyperelliptic if there exists a morphism  $f : C \rightarrow \mathbb{P}^1$  such that  $f$  is  $2 : 1$  at all but finitely many points.*

*Every hyperelliptic curve has a nontrivial automorphism called the hyperelliptic involution, which we denote  $\sigma$ . If  $P$  and  $Q$  are two points such that  $f(P) = f(Q)$ , then we define  $\sigma$  by  $\sigma(P) = Q$  and  $\sigma(Q) = P$ . Then  $\sigma^2 = \text{Id}$ .*

If  $C$  is hyperelliptic and genus 3, then we seek an equation of the form  $y^2 = f(x)$  where  $f$  has degree 7 or 8.

If  $C$  is non-hyperelliptic and genus 3, then we seek an equation of the form  $F(x, y, z) = 0$ , where  $F$  is homogeneous of degree 4.

So we want to know: is the curve determined by the group  $\langle 96, 64 \rangle$  hyperelliptic or non-hyperelliptic?

In our situation there is an easy test for hyperellipticity that we can apply. First another definition:

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*Date:* September 13, 2010.

**Definition 1.2** *The center of a group  $G$  is the set of elements which commute with all elements of  $G$ :*

$$\text{Center}(G) := \{g \in G : gh = hg \forall h \in G\}$$

*Note from Dave: the following proposition was corrected on Oct. 1, 2010.*

**Proposition 1.3** ([2, p. 736]) *If  $C$  is hyperelliptic, then there exists an element  $g \in \text{Aut}(C)$  such that  $g^2 = \text{Id}$  and  $g \in \text{Center}(\text{Aut}(C))$ .*

*Note:* Lewittes proves that  $\langle \sigma \rangle$  is a normal subgroup of  $\text{Aut}(C)$ , where  $\sigma$  is the hyperelliptic involution. But you can easily show that a normal subgroup of order 2 is central.

Thus, we can compute  $\text{Center}(\text{Aut}(C))$  in `Magma` and see if it contains any elements of order 2. If it does not, then we know  $C$  is not hyperelliptic. (I'll show you a way to verify that a curve is hyperelliptic in a few weeks).

```
> SmallGroupDatabase();           //loads the small group database
> H:=SmallGroup(96,64);           //loads <96,64>
> Center(H);                       //computes the center of <96,64>
GrpPC of order 1
PC-Relations:
> Order(Center(H));
1
```

Notice the output from `Center(H)` already tells us that the center has order 1. That is, the center consists of only one element, the identity (since clearly `Id` commutes with any element of  $G$ ). Therefore, we see that in  $\langle 96, 64 \rangle$  there are no order two elements in the center. We conclude that our curve must be non-hyperelliptic, and therefore given by an equation of the form  $F(x, y, z) = 0$ , where  $F$  is homogeneous of degree 4 (which fits with the answer, which we secretly know).

#### STEP 2: FIND OUT HOW $\text{Aut}(C)$ ACTS ON THE VARIABLES

We know  $\langle 96, 64 \rangle$  is supposed to act on  $x, y$ , and  $z$ , but how?

For genus  $g = 3, 4, 5$  these matrices are given in the literature. (For curves of genus  $g \geq 6$ , we'll have to do a little more work.) For instance, for genus 3, see [1, Proposition 1.3, p. 281]. This proposition gives matrix generators for automorphism groups whose orders are of the form  $2^p 3^q$  (and 96 is of this form). In the last line, we see  $G(96) = \langle B(1, i, i), C(1, 1, 1) \rangle$ . Refer to page 279 where the notation  $B(1, i, i)$  and  $C(1, 1, 1)$  is explained. We see that Kuribayashi and Kuribayashi are asserting that the action of  $\langle 96, 64 \rangle$  on the variables  $x, y$ , and  $z$  is given by two matrices, which I call  $A$  and  $B$  below:

$$A = \begin{pmatrix} 0 & 1 & 0 \\ i & 0 & 0 \\ 0 & 0 & i \end{pmatrix}, B = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

We want to put these in `Magma`. Notice that we will want to be able to use the complex number  $i$ , and  $i^4 = 1$ . Recall from last week that anytime we want to use roots of unity, we want to work over a *cyclotomic field*.

```
> K<i>:=CyclotomicField(4);           //create the field Q[i], and use the
                                     //letter i for the new variable
> GL3K:=GeneralLinearGroup(3,K);     //create the group of 3x3 invertible
                                     //matrices with coefficients in the field K
> A:=elt<GL3K | 0,1,0, i,0,0, 0,0,i>; //enter the matrix A
> A;                                  //peek at A to check it
[0 1 0]
[i 0 0]
[0 0 i]
```

```

> B:=elt<GL3K | 0,1,0, 0,0,1, 1,0,0>; //enter the matrix B
> B;
[0 1 0]
[0 0 1]
[1 0 0]
> G:=sub<GL3K | A,B>;
> Order(G); //compute the order of G to check it
96
> IdentifyGroup(G); //Check that the group G really is <96,64>
<96, 64>

```

We have now loaded  $\langle 96, 64 \rangle$  in Magma. In the next three sections we will outline three different ways to find  $F(x, y, z)$ .

#### FINDING EQUATIONS, METHOD ONE: COMPUTING INVARIANTS WITH MAGMA

**Definition 1.4** *Suppose a group  $G$  acts on a set  $X$ . If  $x$  has the property that  $gx = x$  for all  $g \in G$ , then we say  $x$  is  $G$ -invariant.*

From the previous section, we know how  $G \cong \langle 96, 64 \rangle$  acts on  $x$ ,  $y$ , and  $z$ . That means  $G$  acts on monomials of every degree. For example, we can define the action of  $g$  on  $x^2$  to be  $gx^2 := (gx)(gx)$ . More generally,  $gx^a y^b z^c = (gx)^a (gy)^b (gz)^c$ . Then we can extend the  $G$ -action from monomials to polynomials.

If there is a  $G$ -invariant degree 4 homogeneous polynomial, then that could be the answer we seek. Let's see if there are any. In Magma:

```

> R:=InvariantRing(G); //Compute the invariant ring
> R; //Peeking at R still doesn't display the invariant polynomials
Invariant Ring
Group:
  MatrixGroup(3, K) of order 96 = 2^5 * 3
  Generators:
    [0 1 0]
    [i 0 0]
    [0 0 i]

    [0 1 0]
    [0 0 1]
    [1 0 0]
Coefficient ring:
  Cyclotomic Field of order 4 and degree 2
> PrimaryInvariants(R); //Shows us the generators of the invariant ring
[
  x1^4 + x2^4 + x3^4,
  x1^2*x2^2*x3^2,
  x1^8 + x2^8 + x3^8
]

```

We see that there is one homogeneous degree 4 invariant polynomial. Magma used the variables  $x_1$ ,  $x_2$ , and  $x_3$  for it, but we can easily see that this is the same as  $x^4 + y^4 + z^4$ , as we expected.

This method is easy to try, but it may not always work. Here are two possible reasons it could fail:

- (1) There might not be enough invariant polynomials in the degrees you need.

- (2) I don't know what algorithm `Magma` uses, so it could be possible that the calculation will be too slow to complete.

What is special

#### FINDING EQUATIONS, METHOD TWO: COMPUTING INVARIANTS BY HAND

In this example, we can find the invariant  $x^4 + y^4 + z^4$  by hand.

Let's start by examining the structure of  $G$  a little more closely. I don't know a built-in `Magma` command to see the 96 matrices in  $G$ , so here is a way we can do this ourselves. Note: if you think of  $G$  as a list of 96 matrices stored in order, the numbering map is the map which takes a matrix  $A$  to its position on the list. Also, note that when we begin the for loop, we don't use a semicolon at the end of the line; that tells `Magma` the commands are continued on the next line.

```
> f:=Inverse(NumberingMap(G));
> for i:=1 to 96 do
for> print(f(i));
for> print("");
for> end for;
[1 0 0]
[0 1 0]
[0 0 1]

.
.
.

[ 0  0 -i]
[ 0  i  0]
[-1  0  0]

[ 0  0  1]
[-1  0  0]
[ 0 -1  0]
```

Scanning over this list, we recognize a few of these matrices. For instance, the list includes

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix},$$

which we recognize as permutations of the variables  $x$ ,  $y$ , and  $z$ . The two matrices above generate a copy of  $S_3$  inside  $G$ .

I want to find  $G$ -invariant polynomials. I'll do it in two stages. First, I'll find some  $S_3$ -invariant polynomials. Then I'll check to see if any of these are actually  $G$ -invariant.

One way to find some  $S_3$ -invariant polynomials is to take the sum of the monomials in an orbit. For instance, we can consider how  $S_3$  acts on the monomials  $\{x^4, x^3y, x^3z, x^2y^2, x^2yz, x^2z^2, xy^3, xy^2z, xyz^2, xz^3, y^4, y^3z, y^2z^2, yz^3, z^4\}$ . Start with  $x^4$ . Under the permutations of the variables  $x$ ,  $y$ ,  $z$ , the monomial  $x^4$  might get mapped to itself, to  $y^4$ , or to  $z^4$ . Then  $\{x^4, y^4, z^4\}$  is one  $S_3$ -orbit, and  $x^4 + y^4 + z^4$  is an  $S_3$ -invariant polynomial.

We can continue splitting up the remaining 12 monomials into orbits. We get  $\{x^3y, x^3z, y^3z, y^3x, xz^3, yz^3\}$  (the orbit of monomials of the form “one variable cubed times a variable to the first power”),  $\{x^2y^2, x^2z^2, y^2z^2\}$ ,  $\{x^2yz, xy^2z, xyz^2\}$ . Thus we have found four  $S_3$ -invariant polynomials as follows:

$$\begin{aligned} F_1(x, y, z) &= x^4 + y^4 + z^4 \\ F_2(x, y, z) &= x^3y + x^3z + y^3z + y^3x + xz^3 + yz^3 \\ F_3(x, y, z) &= x^2y^2 + x^2z^2 + y^2z^2 \\ F_4(x, y, z) &= x^2yz + xy^2z + xyz^2 \end{aligned}$$

(Question: are there any other degree 4  $S_3$ -invariant polynomials?)

Now we can ask whether any of the four  $S_3$ -invariant polynomials are actually  $G$ -invariant. For each polynomial  $F_i(x, y, z)$  on the list above, we can test whether  $AF_i = F_i$  and  $BF_i = F_i$ . Out of these four polynomials, we see that only  $F_1(x, y, z)$  is  $G$ -invariant. Again, we have found the answer.

I like this method because we got to think a little bit, but it is easy to see some obstacles to applying it in general. This method could break down at the beginning: you might stare at the list of matrices and not see any which generate a meaningful subgroup of  $G$ . Then of course the broader problem is that there might not be enough invariant polynomials anyway...

#### FINDING EQUATIONS, METHOD THREE: DECOMPOSING THE ACTION OF $G$ ON POLYNOMIALS

The third method is to decompose the  $G$ -action on polynomials. This is more general than finding invariants. What does it mean to decompose a  $G$ -action on polynomials? Let me illustrate with a slightly simpler example.

Let's look at how the matrix  $A$  acts on degree 2 monomials. Recall that

$$A = \begin{pmatrix} 0 & 1 & 0 \\ i & 0 & 0 \\ 0 & 0 & i \end{pmatrix},$$

and so the associated linear transformation is (read off the columns of the matrix above)

$$\begin{aligned} x &\mapsto iy \\ y &\mapsto x \\ z &\mapsto iz \end{aligned}$$

Then we can compute the action on degree 2 monomials. We get:

$$\begin{aligned} x^2 &\mapsto (iy)(iy) = -y^2 \\ y^2 &\mapsto (x)(x) = x^2 \\ z^2 &\mapsto (iz)(iz) = -z^2 \\ xy &\mapsto (iy)(x) = ixy \\ xz &\mapsto (iy)(iz) = -yz \\ yz &\mapsto (x)(iz) = ixz \end{aligned}$$

Then the matrix of this linear transformation with respect to the ordered basis  $\{x^2, y^2, z^2, xy, xz, yz\}$  is

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & i & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & i \\ 0 & 0 & 0 & 0 & -1 & 0 \end{pmatrix}.$$

We see that the upper right  $3 \times 3$  block is zero, and the lower left  $3 \times 3$  block is zero.

We do a similar calculation for the matrix  $B$  and find the matrix below for its action on degree 2 monomials:

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}.$$

We see that the upper right  $3 \times 3$  block is zero, and the lower left  $3 \times 3$  block is zero.

Since  $A$  and  $B$  both have this block form, and  $G$  is generated by  $A$  and  $B$ , we can conclude that for any matrix in  $G$ , the associated matrix for the action on degree 2 monomials has this block form. That is, the two vector subspaces  $\text{Span}\{x^2, y^2, z^2\}$  and  $\text{Span}\{xy, xz, yz\}$  do not get “mixed” under the action of  $G$ .

That is what the function `DecomposeGAction` computes. We run this example in Magma:

```
> load "DecomposeGAction.txt";           //Load my program. One of the only
Loading "DecomposeGAction.txt"          //Magma commands that starts lowercase.
> S<x,y,z>:=PolynomialRing(K,3);         //Tell Magma what variables you want it
                                           //to use for polynomials.
> DecomposeGAction(G,S,2);
[
  rec<recformat<CharacterRow, Dimension, Elements> |
    CharacterRow := 5,
    Dimension := 3,
    Elements := [
      x^2,
      y^2,
      z^2
    ]
  >,
  rec<recformat<CharacterRow, Dimension, Elements> |
    CharacterRow := 7,
    Dimension := 3,
    Elements := [
      x*y,
      x*z,
      y*z
    ]
  >
]
```

If we apply this to degree four polynomials, we get the following:

```
> DecomposeGAction(G,S,4);
[
  rec<recformat<CharacterRow, Dimension, Elements> |
    CharacterRow := 1,
    Dimension := 1,
    Elements := [
      x^4 + y^4 + z^4
    ]
  >,
  rec<recformat<CharacterRow, Dimension, Elements> |
    CharacterRow := 3,
    Dimension := 2,
    Elements := [
      x^4 - z^4,
      y^4 - z^4
    ]
  >,
  rec<recformat<CharacterRow, Dimension, Elements> |
    CharacterRow := 5,
    Dimension := 3,
    Elements := [
      x^2*y^2,
      x^2*z^2,
      y^2*z^2
    ]
  >,
  rec<recformat<CharacterRow, Dimension, Elements> |
    CharacterRow := 6,
    Dimension := 3,
    Elements := [
      x^2*y*z,
      x*y^2*z,
      x*y*z^2
    ]
  >,
  rec<recformat<CharacterRow, Dimension, Elements> |
    CharacterRow := 10,
    Dimension := 6,
    Elements := [
      x^3*y,
      x^3*z,
      x*y^3,
      x*z^3,
      y^3*z,
      y*z^3
    ]
  >
]
```

and from the first entry in the output, we see that there is a one-dimensional subspace of the degree four homogeneous polynomials spanned by  $x^4 + y^4 + z^4$  which does not get mixed with any other polynomials under  $G$ , and we have seen before that this is indeed the answer we are looking for.

There are a few problems with this method:

- (1) You might get lots of candidate polynomials. Then you need to study each one.
- (2) The polynomials you see might not give you a smooth curve.

We will see both of these issues arise next week.

THE END

Don't forget to save your Magma session!

```
> UnsetLogFile();  
> exit;
```

#### REFERENCES

- [1] IZUMI KURIBAYASHI AND AKIKAZU KURIBAYASHI, *Automorphism groups of compact Riemann surfaces of genera three and four*, J. Pure Appl. Algebra **65** (1990), no. 3, 277–292, DOI 10.1016/0022-4049(90)90107-S. [MR1072285 \(92a:30041\)](#) ←2
- [2] JOSEPH LEWITTES, *Automorphisms of compact Riemann surfaces*, Amer. J. Math. **85** (1963), 734–752. [MR0160893 \(28 #4102\)](#) ←2

#### SOFTWARE PACKAGES REFERENCED

- [3] SCHOOL OF MATHEMATICS AND STATISTICS COMPUTATIONAL ALGEBRA RESEARCH GROUP UNIVERSITY OF SYDNEY, MAGMA *computational algebra system* (2008), available at <http://magma.maths.usyd.edu.au/magma/>. Version 2.15-1. ←