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# KIERKEGAARDIANA

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- 26. \*Für den Religiösen sind die Furcht und das Mitleid etwas anderes [als für den Ästhetiker] und werden nicht gereinige, indem man sich nach außen, sondern nach innen kehrt. Die ästhetische Heilung besieht darin, daß der einzelne Mensch (...) sich selber entschwindet, gleich einem Staubkörnchen, das mit in den Kauf geht, in das, was aller Menschen, was der Menschheit gemeinsames Los ist (...) Die religiöse Heilung besteht umgekehrt darin, die Welt und Jahrhunderte und Generationen und Millionen von Zeitgenossen in ein Entschwindendes zu verwandeln, (...) so daß das einzig Übrigbleibende der einzelne Mensch selber ist, ja, dieser bestimmte einzelne Mensch, der (...) in sein Gottesverhältnis eingesetzt ist. \* (SWL 12, 492£; SVI 6, 430£; meine Hervorhebung).
- Zum Problem der 'Negativität' als Methode bei Kierkegzard vgl. Michael Theunissen, Das Selbst auf dem Grund der Verzuwiffung, Berlin, 1991 p. 17f. und Der Begriff Verzweiffung, p. 140f.
- 28. Vgl. dazu EC 22, 90; SVI 12, 84: »Jeder Mensch soll in Furcht und Zittern leben, und so soll auch kein Bestehendes freigestellt sein von Furcht und Zittern.« Weiters auch: »Selbst die am meisten geläuterte vernünftige Gottesverehrung ist Seligkeit in Furcht und Zittern« (DRG 10, 123; SVI 5, 185; meine Hervorhebung).

# 'Entangled Freedom'

Ethical Authority, Original Sin, and Choice in Kierkegaard's Concept of Anxiety

John J. Davenport

Introduction: Choice and Authority in Either/Or

to become a chooser-in-the-ethical-sense does not generate the authority a primordial responsibility to decide what kind of person to be, or to through some crisis, or through being challenged by other persons 10 - to moral worth - does not derive from her original choice to be a 'chooser.' conscience - or her cognitive access to ethical values and standards of within the individual's life. But the objective authority of the agent's gives the distinctions of good and evil character a personal relevance of ethical principles for the individual, as MacIntyre assumes, but rather ing patterns of higher-order volitions." Therefore the primordial choice to engage in such identification or to form an 'inner character' consist-Judge William describes in Either/Or II can be interpreted as the choice volitions through which persons 'identify' with some desires or motives arbitrary freedom.' Drawing on Harry Frankfurt's analysis of higher-order choice' to be an agent who chooses in ethical consciousness as an act of an earlier article that MacIntyre is wrong to construe the 'primordial choice, as Marilyn Piety puts it.2 Like Piety, Anthony Rudd,3 George cal view of existences can only be made by an arbitrary or scriterionless argument in After Virtue that \*the transition from an aesthetic to an ethiconcept of ethical authority, prompted in part by Alasdair MacIntyre's commit inwardly to acting on motives that reflect what he most cares Rather, the aesthete at some point in life cognitively awakens usually for acting while alienating others, I argued that the 'primordial choice' Stack, Alastair Hannay, Timothy Jackson, and others, I have argued in In Kierkegaard circles, there is currently a lively discussion of Kierkegaard's

about, rather than merely drifting along and letting his intentions be determined by the relative strength of opposing appetites and inclinations as they vary over time, without unity or order. At this point, the awakened aesthete must in one way or another make a primordial choice between the aesthetic and the ethical modes of existence, but it is hardly an arbitrary one. Contra MacIntyre, awareness of the force of moral norms, including the ability to make them one's own as guides for action, is possible for 'awakened' aesthetes. Morcover, since past this point one cannot consciously return to unawakened immediacy, if he chooses the aesthetic, he enters into sin instead."

My goal in this paper is to look at how Kierkegaard's Concept of Anxiety sheds light on this question about ethical authority and choice in Either/Or II. I argue that the Concept of Anxiety portrays the story of the Fall in Genesis as a paradigm of the aesthetic-ethical transition. Thus the analysis of anxiety's role in original sin helps explain why the freedom of choice involved in this transition is not an empty, arbitrary, or voluntarist indeterminism.<sup>12</sup>

# The Universalization of 'First Sin' in The Concept of Anxiety, Chapter I.

sin' in which an individual actualizes this salient possibility: »The new sitional state constituted by the volitional possibility of sin, 16 and the 'first supposes the possibility of sin and deals with its actual \*manifestation. is a division of labor between 'dogmatic' or religious ethics, which prethe enigmatics (CA 30; SV1 4, 303).17 Corresponding to this distinction quality appears with the first [sin], with the leap, with the suddenness of moral imperfection, since as a general condition sinfulness is Adam's fault. original sin encourage the attitude that we are not really 'at fault' for our To avoid this error, VH first distinguishes between 'sinfulness' as a dispo-Kierkegaard's own ethical concern that the traditional interpretations of proach to sin by way of its ambiguous antecedents, 15 but is motivated by innovation is not simply a result of the pseudonym's psychological apfavor of one that, at least in my view, makes more sense ethically.14 This which rejects both traditional Catholic and Protestant interpretations in sis ('VH' for short) articulates a radically new position on original sin, In The Concept of Anxiety, 13 Kierkegaard's pseudonym Vigilius Haufnien-

but not with its coming into existence« (CA 21; SVI 4, 294), and psychology, which can illuminate the subjective experiences of sinfulness but cannot explain the final emergence of actual sin, since that is 'not a state' or disposition but rather an act (CA 15; SVI 4, 287): 18

The subject of which psychology treats must be something (...) that remains in a restless repose (...) But this abiding something out of which sin constantly arises, not by necessity (for becoming by necessity is a state (...)) but by freedom – this abiding something, this predisposing something, sin's real possibility, is a subject of interest for psychology (CA 21; SV1 4, 294 – my italics).

Employing this distinction, VH then argues against the doctrine that the original sinfulness which precedes 'first sin' in us is itself the result of Adam's first sin. On the contrary, he maintains that »Just as Adam lost innocence by guilt, so every man loses it in the same way» (CA 35; SV1 4, 307), i.e. in his own rather than Adam's first sin:

It is not in the interest of ethics to make all men except Adam into concerned and interested spectators of guiltiness but not participants in guiltiness, nor is it in the interest of dogmatics to make all men interested and sympathetic spectators of the Atonement but not participants in the Atonement (CA 36; SV1 4, 308).

In accordance with this statement, VH presents two distinguishable arguments against a hereditary source of sinfulness: an ethical argument, and an existential argument about the historicity of persons, which is crucial for Christian religiousness. The first argument is based on the injustice of making Adam superlatively sinful, i.e. making him an agent who, starting from an imaginary perfect innocence, sins directly against God and thereby causes us not to begin with the same qualitative advantage that he had:

Through the first sin, sin came into the world. Precisely in the same way it is true of every subsequent man's first sin, that through it sin comes into the world. That it was not in the world before Adam's first sin is, in relation to sin itself, something entirely accidental and irrelevant. It is of no significance and cannot justify making Adam's sin greater or the first sin of every other man lesser (CA 31; SV1 4, 303).

which every neighbor is equal in worth before God.19 The interpretation anyone, including Adam, from the universal sphere of humanity, in it is contrary to his religious ethics to make an exception which excludes agapeistic ethics which Kierkegaard later articulates in Works of Love. For As I read it, this argument implicitly appeals to the main tenets of the

of the race (CA 34; SVI 4, 306). Out of this individual historicity arises cally isolated, but is affected by the past and affects the future possibilities begin anew with each person.24 As VH says later in chapter two, the history of the race,23 which transcends the individual and does not only through his relation to Adam and not through his primitive relation lated to others, their freedom which introduces novelty is not ahistori-4, 305f.). But because each person is also 'descended' or temporally rewith the races22 and is more than an sempty repetitions (CA 33f.; SV1 history of the race \*by the qualitative leap, \* every person \*begins anew a simple reinstantiation of the same. Because each individual adds to the is difficult, but importantly linked to the idea of a 'repetition' that is not connection to others are united in human personhood.21 The idea here 26; SVI 4, 298). Against this, VH argues that individuality and historical to sin, then Adam would be \*placed fantastically outside history\* (CA on the idea that if the particular individual participates in inherited sin dom from history (CA 34; SV1 4, 306). So his second argument focuses isolationist individuality of Pelegianism, which abstracts individual free-Yet VH is at pains to insist that this view does not amount to the

privilege of starting from the beginning in an external sense. Each indi-Christianity has never assented to giving each particular individual the

> hold true (CA 73; SV1 4, 342 - my italics). vidual begins in a historical nexus, and the consequences of nature still

Since each person's individuality derives in part from the uniqueness of tential argument from historicity dovetails with the ethical argument. uniqueness: »no individual begins at the same place as another, but every sinfulness (CA 73; SV1 4, 342). Thus freedom and temporal connection effects of past sin, such as that 'sensuousness' acquired the connotation of fects (yet without determining) her freedom:25 her historical relations, and the 'quantitative' historical progression afindividual begins anew« (CA 34-35; SVI 4, 306). Moreover, this exisgo together and the person's individuality consists partly in her historical This 'external' difference between our situation and Adam's includes the

to the history of the race any more than the race is indifferent to the fore the perfect participation in the whole. No individual is indifferent viduals, and just as essentially in his own. Perfection in oneself is there-Every individual is essentially interested in the history of all other indihistory of the individual (CA 29; SV1 4, 301).

ture' as particular instances of a species. not determining its subsequent individual members or fixing their 'naindividual equal to all others, affecting the race by his or her history but ence entails that the first human being could only be another historical 'race,' which is essentially historical.29 So the historicity of human existtended in time, or natural kind (like an animal species)28 rather than a anew in their individual freedom, but would instead be a substance exwould not consist of temporally related agents who begin qualitatively race, because then Adam would be historical but the race would not: it torical role, then it cannot be merely to determine future sinfulness in the race cannot be historical if Adam is not. (2) But if Adam does have a hisindividual« (CA 33; SV1 4, 305). This is a contradiction, because the other, then \*the race has its beginning with an individual who is not an individual, whose life is able to affect the history of the race like any tial ground of Kierkegaard's agape ethics." On the basis of this historici-Love, suggests that the historicity of human individuality is the existen-This passage, which anticipates several themes in Kierkegaard's Works of ty, VH mounts an argument by dilemma. (1) If Adam is not an historical

Since this falsifies the orthodox doctrine that Adam's sin differs from

ours because \*Adam's sin conditions sinfulness as a consequence\* (CA 30; SVI 4, 302), and since VH says that we participate in 'inherited sin' through our 'primitive relation' to sin rather than through a hereditary relation to Adam (CA 26; SVI 4, 298), we might conclude that in each person, first sin is possible because of her own prior 'sinfulness' or primitive volitional disposition to sin. Then VH's new conception would differ from the traditional picture only in denying that the preceding disposition in each is caused by Adam. On this reading, VH would hold that the dispositional possibility of sin in each of us is not the result of our own acts (let alone of the result of some first man's act), but is 'already there' in the very constitution of 'human nature' (CA 22; SVI 4, 294), in the temporality and finitude that makes us mortal. This would be similar to Schelling's mythic scheme in which the possibility of evil arises from the fact that his relation to the chthonic 'Ground' of Being is different from God's relation to it. Louis Dupré describes this view as follows:

Man as the only creature to rise from this dark Ground to the full clarity of a spiritual existence displays a unique resemblance with God (...). Still while attaining individual form in the clarity of spirit man also remains attached to the indeterminate Ground from which he emerges. In God nature and spirit are indissolubly united. In man, their bond remains fragile, ever to be renewed. 31

and the disposition of sinfulness in any given person cannot »begin in Every individual \*by his own first sin, brings sinfulness into the world\* just as happens with each of us (CA 32-33; SVI 4, 305 - my italics). sinfulness, but this state itself is freely adopted, \*sin presupposes itself sin (though paradoxically, this is still predisposed). Since sin presupposes sinfulness itself properly originates in each of us with our own first act of it in his comparison of The Concept of Anxiety and Milton's Paradise Lost any other way than with sin\* (CA 34; SV1 4, 306). As John Tanner puts into the world, \* but rather that »by first sin, sinfulness came into Adam, « and to be accurate, we must not say that by \*Adam's first sin, sin came even more radically from traditional doctrine by insisting that the state of desire' or 'concupiscence' is innate (CA 73; SVI 4, 342), and departs nite possibility's unconnected to necessity.32 VH thus denies that 'evil mantic concept of freedoms which reduces it to a smere feeling of 'infibut as Dupré argues, Kierkegaard rejects Schelling's scheme and the vro-This conception undoubtably had a very strong influence on Heidegger,

for Kierkegaard, Adam and Eve's sidentity with the human familys does not rest on their sharing the same innate sinfulness, or sbeing fallen from the start, but from their distinctly human capacity to fall freely. Their story is the paradigm for the narrative of every individual's development.

Some scholars have referred to the tension here as grounds for doubting that this revisionary account of original sin is Kierkegaard's own. In an important paper, Vanessa Rumble has argued that Haufniensis »periodically dismisses the possibility of a 'sin' that is incurred without the individual's assente" but that this more 'Kantian' treatment of original sin is undercut later in the text. For example, at one point »Haufniensis qualifies his initial declaration of the individual's responsibility for the fall into sine by claiming that the past quantitative accumulation can produce an anxiety about the possibility which itself constitutes sin. <sup>35</sup> Rumble argues that these and other tensions in Haufniensis's account are meant to indicate something he himself does not see, namely that his own position as a 'watchman,' a supposedly neutral observer, is a (non-innocent) attempt to remain in the aesthetic, and hence itself fraught with mature anxiety (pp. 612-13). Like the ambiguous oracle on whom pagans rely.

Haufniensis's [account] oscillates between (1) claiming an absolute freedom which we assume in 'the qualitative leap' and (2) attributing the individual's 'fall' to an anxiety magnified to unbearable proportions.\*\*

Though I cannot answer all of Rumble's arguments here, it is not clear that the text really ever promotes this second alternative as she construes it. In particular, Haufniensus writes:

(...) the spirit's anxiety in assuming responsibility for sensuousness becomes a greater anxiety. At the maximum we find here the dreadful fact that anxiety about sin produces sin. If evil desire, concupiscence, etc. are regarded as innate in the individual, there is not the ambiguity in which the individual becomes both guilty and innocent (CA 30; SVI 4, 342).

This is meant to defend the paradox that sinfulness starts in our act and is yet predisposed without being innate; without this paradox, the innatist theory misconstrues the ambiguous status of 'innocent' or unawakened aestheticism. In our time, an innocent individual whas an historical environment in which it may become apparent that sensuousness can signify

sinfulness« (CA 30; SV1 4, 343), and thus, without really understanding it, he can develop an oversensitive fear that any immodesty will be a sign to others of something called 'sin'. Thus the maximum effect of the quantitative accumulation of sin in our history

corresponds to the aforementioned - that the individual in anxiety about sin brings forth sin - namely, the individual, in anxiety not about becoming guilty, but about being regarded as guilty, becomes guilty (CA 74-75; SV1 4, 344).

VH's point is thus that (a) historical familiarity with the relation of sensuousness to sin can give the innocent agent an anxiety about being judged as sinful by others, or seeing himself as already steeped in sin, and (b) this anxiety itself may awaken him to the possibility of deserving the judgment and thus precipitate sin. There is a terrible irony in this, as when a warning first makes salient to us to the very possibility of transgressing (CA 74; SV1 4, 343); but this still does not mean that sin is produced' causally, i.e. without the leap. The first form of anxiety that predisposes sin – even as intensified in later individuals because of the effects of sin in human history – does not itself constitute sin, nor does it necessitate sin. As Marino says, nunder no circumstances can this presentiment, which is anxiety, determine sin. In thus one can be faithful to the text without accepting Rumble's analysis.

# III. The Aesthetic -Ethical Transition and Nonarbitrary Freedom

The apparent conflict between innate and self-caused accounts of sinfulness is to be resolved, I believe, by realizing that sinfulness is necessarily ambiguous for VH: it both precedes (and is presupposed by) a given sinful act, and yet it is shaped as a volitional 'disposition' by such acts. This is why the anxiety in which we experience sinfulness is similarly ambiguous, both preceding sin and following as a consequence from sin. In the first sin, the two sides of this ambiguity seem to come together: as a journal entry suggests, Adam's \*first sin is sinfulness\* itself; the very disposition that \*gave birth to actual sins in him\* (CA 184; Pap. V B 52:4).\*

Behind this paradox stands the same conception of freedom that was op-

erative in *Either/Or II*: freedom is dispositional and dialectical, transcending substantive determination by its openness to alternatives, yet shaping by its own acts the way these options appear to the agent and their relative availability to her will.

us precisely to follow the biblical paradox of a responsible choice enigmatic terms as a choice by which good and evil are first posited for order volitions or volitional character). Judge William phrases this in activate our 'spirit' (the self that forms and consists of continuing higherof existence which occupied the Judge in Either/Or II. The problem in explanation of 'pre-threshold'45 or 'objective' anxiety in the story of the agent can be responsible for it. form of original sin, and to explain why it is not arbitrary and how the the Concept of Anxiety is to make sense of such a choice in the concrete ther/Or focuses on the significance of this choice in abstract, the task in through which Adam first 'comes to know' good and evil. While Eidistinction between good and evil 'comes alive' for us, or by which we Fall. Since Adam's fall is qualitatively the same as each later individual's this transition is how to understand the 'choice' by which the ethical fall, it is the model for the transition from the aesthetic to ethical mode This conception of freedom becomes clearer in light of Haufniensis's

This comparison between Adam and the awakening aesthete is bound to seem controversial, since it suggests that existence in Eden is somehow imperfect or immature: compared to this, the Fall (despite its sinfulness) is an existential advance. Yet I think this was precisely Kierkegaard's way of making sense of the Genesis narrative. It is not only consonant with, but even required by his text: as John Tanner says with Kierkegaard and Milton in mind, »Qualified by spirit, Edenic existence seems designed specifically to be broken apart (...).\*6 In short, the Fall is a felix culpa.

VH emphasizes that 'innocence is ignorance' (CA 37; SV1 4, 309), specifically in the biblical sense that \*man in his innocence\* before the Fall has no \*knowledge of the difference between good and evil\* (CA 41; SV1 4, 313). In this respect, the innocent person is like the unawakened aesthete who, as I analyzed him, tacitly refrains from explicitly facing the choice between the aesthetic and ethical, "and therefore remains oblivious to the primordial responsibility to form higher-order volitions informed by ethical distinctions and principles. Like the aesthete, the innocent is not transparent to herself, since her spirit is 'dreaming' (CA 41; SV1 4, 313), and she experiences the possibility of her freedom as

cent person is not simply spiritless; rather, her spirit is still in a kind of to avoid concrete higher-order volitions). Likewise, Kierkegaard's innoshe already has an implicit highest-order will, namely a subconscious will aesthete is not merely wanton like an animal, since in Frankfurt's terms will is accessible only as an empty anxiety (CA 42; SV1 4, 313). Yet the open 'possibility of possibility;' the spiritual possibility of higher-order

is present, but as immediate, as dreaming (CA 43; SV1 4, 315). it.48 In innocence, man is not merely animal, for if he were at any moment of his life merely animal, he would never become man. So spirit sis is unthinkable if the two are not united in a third. This third is spir-Man is a synthesis of the psychical and the physical; however, a synthe-

does not appear to him as \*an ability to choose the good or the evile what he is able to do (CA 44; SV1 4, 315) which is precisely why it nothing - the anxious possibility of being able. He has no conception of (CA 49; SV1 4, 320).\*9 modal openness that is unsaturated in just this sense: Adam's anxiety is »a lacks a clear object precisely because it is the experience of a freedom or ments; it is rather a sense of the will as an unsaturated potential, open to an ambiguity is not a conflict of authentically undertaken cares or commitence of a special kind of ambiguity in the agent's highest-order will. This moral 'guilt' (CA 42; SV1 4, 314), but can be understood as the experiindefinite range of unspecified possibilities. The anxiety of innocence found secret of innocence\* (CA 41; SV1 4, 313), is thus not yet a sign of becomes actuals and there is explicit choice in the will, the person is, as 319). Hence the concomitant anxiety in innocence, which is \*the pro-VH says, \*not animal, but neither is he really man\* (CA 49; SV1 4, that is not actively chosen or earnestly posited. Thus before \*the spirit thetic immediacy is not yet revealed to us - a volition that is ours, but beginning, in the potentia of a tacit highest-order volition, which in aes-In other words, the apacity for higher-order will must be there from the

tion. Thus, as I read it, for Kierkegaard the sense in which Adam has no nificance can be personally experienced in terms of the ethical distincownmost commitment must become explicit to the agent before its sigtive deficiency in understanding objective authority but more a volitional 'knowledge' of good or evil (CA 44; SV1 4, 315) is not so much a cogni-The ability actively to determine one's highest-order volition or

> way, as the foundation of our own self-constituting choices. stantive contrast between good and evil our own in the most intimate of knowledge\* (CA 68; SV1 4, 338). Our telos involves making the subunlike animal ignorance of morals, is already equalified in the direction for him. Likewise, the aesthete has a speculative outline-knowledge of ipated be fully comprehended. Thus VH says that human innocence, propriation can the ethical distinction which is already cognitively anticonly for freedom (...)« (CA 45-46; SVI 4, 316). Only through free apand evil, which indeed can be expressed in language but nevertheless is when he writes, "This applies above all to the difference between good ity) know these categories. This is evidently the sense VH has in mind good and evil, but he does not in the biblical sense (of intimate familiargories to his freedom, giving it subjective meaning or personal relevance lack of the appropriation needed to connect the authority of ethical cate-

a leap it will become spiritual, either in sinfulness or its opposite. nite possibility of being ables and bring innocence sto its uttermosts prohibition and threat of punishment are Anstoße that awaken »The infipossibility of choosing between aesthetic and ethical determinations of continued aestheticism, an intrusion that quickens her awareness of the an 'other' or Ansig in suffering or misfortune, 2 which is an obstacle to SV1 4, 311).51 In Either-Or and the Postscript, the aesthete encounters such consolidates freedom, or serves as »freedom's 'necessary other's (CA 39f.; temptation to evil, but rather in the sense of a stimulus that elicits and Baader says that the prohibition is a 'temptation,' not in the sense of a 44; SV1 4, 315). The prohibition's function relative to freedom here is anxiety, for the prohibition awakens in him freedom's possibility. (CA possible to explain psychologically why a prohibition should awaken (CA 45; SV1 4, 316), to the limit of the naive-aesthetical, where through the highest-order will. Likewise in the Fall, for the innocent person the ing self-consciousness in Fichte's Wissenschaftslehre.50 As VH notes, Franz like the function of the Ansi $\theta$  - the 'check' of alterity - in consolidat-40; SV1 4, 312), VH says instead that, \*the prohibition induces in him teri's interpretation that the prohibition on eating the fruit itself brings forth in Adam's qualitative leaps (CA 39; SV1 4, 311). But since it is im-Adam to the point where sin is possible, or \*predisposes that which breaks highest-order will. Likewise, in the Concept of Anxiety, VH follows Usconcupiscentia, or sinfulness as inordinate desire for the forbidden (CA the point of facing the choice between the aesthetic and ethical in his In Either/Or II, something external must help bring the aesthete to

In other words, the anxiety Kierkegaard is concerned with is specifically volitional anxiety: in this state, the will or spirit manifests its own anticipatory and pre-reflective sense that it will be faced with what William James would have called a fored choite. But anxiety in this sense is not necessarily the defense mechanism of an unwilling chooser. Even an individual who does not shrink back, but opens herself to the new horizons of possibility emerging before her must undergo anxiety as an unavoidable growing pain of the spirit. To progress towards full selfhood, the individual must cultivate her agency by identifying with her anxiety, finding in it a kind of intellectual intuition of her individuality as a being of volitional freedom in temporal form.

spair." Thus in Kierkegaard's sequence, sinfulness can lead to two main ment\* of sin, the response which (if sustained) leads to overcoming deethicals as the positive response to the sinitially natural negative developsponds in my former analysis of Either/Or to the agent's awakening to ways takes the \*natural initial form\* of sinfulness.53 This insight correpossible resolutions: has already shirked. And Hannay also regards the action of \*choosing the the primordial responsibility to choose the ethical as a responsibility he vide criteria of personal identity and humanly fulfilling achievement« alquire without reference to an eternal goal. As Alastair Hannay puts it, man beings discover that our life cannot have the sort of meaning we reproper relation to the infinite source of value; it is always in sin that hucism and innocence. It is in principle possible to move from aesthetic to that comes from discovering "the inability of temporal categories to profor Kierkegaard the \*threshold insight\* into the nature of good and evil first come alive to us through guilty choices mediated by anxiety. No ethical existence without sin, but in fact the ethical categories always without grasping the close relation between the categories of aesthetihuman being develops directly from innocence into a self, defined by its One cannot understand Either/Or II or The Concept of Anxiety, then,

1. Despair (as denial or repression of the ethical potential of

Innocence/ Anxiety -> Sinfulness < spirit)

naive aestheticism 2. Earnest choice of the ethical

The notion of volitional identification is also implicit in this account of anxiety. On the one hand, the anxiety which forces the will towards ex-

plicit choice and a full or 'subjective' knowledge of good and evil is an Anstoß, external to the will, yet the person becomes 'guilty' (capable of ethical qualification) through partially or ambiguously identifying with his potential for freedom:

(...) he who becomes guilty through anxiety is indeed innocent, for it was not he himself but anxiety, a foreign power, that laid hold of him, a power that he did not love but about which he was anxious. And yet he is guilty, for he sank in anxiety, which he nevertheless loved even as he feared it (CA 43; SV1 4, 314).

of highest-order volition become an explicit problem for him. The aesmaining naive or 'innocent' of the ethical, and resists letting the choice is a sense in which each person's freedom is its own Anstoß. Similarly, as self\* (CA 48; SV1 4, 319) - which also alludes to Fichte's idea that there its dreaming state, that is the 'hostile power' (CA 43; SV1 4, 315). Thus anxiety, or with the potential freedom it signifies; it is his own spirit, in ments of the higher-order will grounded in objective duties and values. active responsibility for his character or spiritual self by forming committhe responsibility to 'choose the ethical' mode of existence, i.e. to take problem to a head (though without determining it). So when he finally thete tacitly identifies with the anxious ambiguity of his innocence, but we saw, in his highest or innermost will the aesthete tacitly works at re-VH makes the Jamesian argument that reach person is tempted by him-In other words, Adam's predisposition comes from identifying with his faces his freedom to choose his highest-order will, he is eo ipso already his consequent anxiety about this very identification inevitably brings the 'guilty' for having postponed it - and thus he experiences subjectively

Just as in Either/Or, however, this highest freedom, which leaps either into sin or earnestness, is not van abstract liberum arbitrium« (CA 49; SV1 4, 320). By 'abstract,' VH means indifference or a perfect equilibrium between alternatives, which is conceivable only in an imaginary disinterestedness. As Thomte notes, Kierkegaard follows Leibniz in this respect, rejecting any vability of the will to choose independently of antecedent factors« (CA, 236, note 58). Instead, as Tanner nicely expresses it, «Kierkegaard's treatise tries to chart an elusive via media between rigid necessity on the on hand and random spontaneity on the other.\*

This intermediate notion of liberty works roughly as represented in the following spatial model: think of the possibilities of action physically

and the openness of unsaturated possibility: ly our experience of the confluence of factically conditioning dispositions dence and the actual choice it finally posits lies anxiety, which is precisethan can freedom« (CA 112; SV1 4, 380). Between this free transcenit: thus \*sin cannot be explained by anything antecedent to it, any more not determined by the antecedents which nevertheless affect or condition unsaturated ability, its 'infinity' by which it transcends the factical and it makes the spirit free is not its having this or that concrete option but its than merely logical possibilities for the spirit. At the same time, what its possibilities, since these are synthetic (i.e. volitional) 'possibilities' rather quired character of the choosing spirit, and so it is never neutral between dom for Kierkegaard is always factically conditioned by the already-acgravity in the character at the hub, and thus shifts the whole field. Freeacquired character. But every choice alters (or deepens) the center of choosable, and those farther away are more difficult to choose, given her to her character in the center: those options closer to her are more easily open to an agent at any time as forming an asymmetrical field anchored

In a logical system, it is convenient to say that possibility passes over into actuality. However, in actuality it is not so convenient, and an intermediate term is required. The intermediate term is anxiety (...) Anxiety is neither a category of necessity nor a category of freedom [i.e. infinite ability]; it is entangled freedom, where freedom is not free in itself but entangled, not by necessity, but in itself (CA 49; SV1 4, 320).

This enigmatic image of freedom 'entangled in itself' is the core of Kierkegaard's existential psychology. In one sense, as Marino has emphasized, 'entangled freedom' is a prejorative: it refers to freedom in a sless than perfect form, \*\* enmeshed in weakness, irresolve, dizzy uncertainty, and self-doubt. But our freedom is also self-entangled in another constitutive sense (which alone makes avoidable enmeshment in the pejorative sense possible): it expresses the idea that the 'spirit' on which selfhood depends is a freedom always conditioned by its own qualitative modality or form of 'possibility'. Free will's inward limits do not derive from external constraints or causal necessities, but from 'dispositions' of the higher-order will itself that shape our volitional possibilities and qualify their relative availabilities for choice. This freedom is sentangled in itselfs because the very dispositions of identification which shape and direct its volitional possibilities are the result of its own transcending leaps: that which con-

ditions freedom also presupposes it. Yet – and this is the key – no matter how far we regress, even to the most inward choice between the aesthetic and the ethical, neither is the will ever motivated or explained by a character that is just predetermined or given as an 'individual essence,' nor does it ever begin shaping its inward character in total arbitrariness without any vestige of 'self' already there to condition it. In other words, spirit or free will is self-entangled in this constitutive sense all the way down. At the bottom, as Beabout puts it, a child's freedom is conditioned by the social environment in which she is raised, and this is precisely how hereditary sin operates. But \*the environment never wholly determines the individual, and different responses to the environment remain possible. But either way she goes, the agent's responses are themselves conditioned by anxiety of historically situated finite freedom, and thus never begin in a motivational vacuum.

As a result, the person's ultimate character is neither simply unchosen or teleologically determined, as in MacIntyre's 'narrative essentialism,'s nor is it the 'original project' of Sartre's absolute freedom, which remains in anguish because of its uncontrollable future mutability. Our lasting volitional identifications do affect what is possible for us, and thus allow us to exercise a substantial level of control over our future actions and commitment, making for narrative unity. But this spiritual character begins in and retains a freedom that is always capable of changing our highest identifications, despite the difficulty of the choices this involves.

Something like this idea of dispositions of the higher-order will that form inner conditions on volitional possibility is apparent in VH's discussion of inwardness and disposition later in the Concept of Anxiety (pp. 146-150; SVI 4, 412-416). This section makes clear that earnestness is a kind of free 'disposition' of the higher-order will: VH says that earnestness is a higher as well as a deeper expression for what disposition is (CA 148; SVI 4, 414). While disposition vis a determinant of immediacy, and the repetition it involves is thus 'habit' (or disposition of first-order will), earnestness is a different kind of disposition in which repetition involves voriginality preserved in the responsibility of freedom.\* As a result, vearnestness can never become a habita (CA 149; SVI 4, 414). Earnestness in this sense is like care in Frankfurt's moral psychology, since cares are higher-order volitions sustained over time that commit the person authentically to projects, people, and ends he regards as important. Supplementations of the control o

### IV. Conclusion

It is clear that the notion of intermediate liberty developed in the Concept of Anxiety confirms my explanation of why the 'choice' between the aesthetic and ethical in Either/Or II is not an arbitrary or irrational choice. The complex dialectic of free choice and ethical authority in the Judge's 'Equilibrium' letter carefully anticipates Haufniensis's description of innocence, good and evil, and anxiety and freedom in the narrative of the Fall. The Judge describes authenticity as choice with \*real earnest-ness,\* (EO 162; SVI, 2,144 and writes that

The personality is already interested in the choice before one chooses, and when the choice is postponed, the personality chooses unconsciously, or the choice is made by obscure powers within it (...) (EO, 168; SVI, 2,149).

The problem of the Fall and original sin should therefore be seen as underlying the account in Either/Or II, and the distinction between the aesthetic and ethical should be understood in that light. Every human being repeats the original sin, but in the same process they also repeat the original discovery of freedom that leads to selfhood. In freedom, we discover the possibility of a self-relation that depends in turn on the Eternal, first as ethical ideality and then as a personal Absolute. Our human relationship to God thus has the possibility of sin (and the potential to become aware of this possibility) written into it from the start, and we could not be what we are without this. This is not simply a Schellingian heresy, however. It is also arguably St. Augustine's conclusion in Part III of On Free Choice of the Will. To place Kierkegaard in this tradition requires more argument than I have given here, but I hope to have laid a basis for such an argument.

#### Notes

- An earlier version of this paper was presented to the International Kierkegaard Conference at St. Olaf College, June 7-11, 1997. I wish to express thanks to my commentator, Karen Hoffmann. This essay has also benefitted from Gordon Marino's paper on anxiety, published after the conference.
- M.G. Piery, \*Kierkegaard on Rationality,\* Faith and Philosophy 10.3. Society of Christian Philosophers, 1996, pp. 366-367.
- A. Rudd, Kierkegaard and the Limits of the Ethical, Oxford, Clarendon Press, 1993, esp ch. 3.
- G. Stack, Kierkegaard's Existential Ethics, Tuscaloosa, University of Alabama Press, 1977 esp. ch. 3.
- A. Hannay, Kierkeguard, New York, Routledge Press, 1982, esp. ch. 3.
- T.P. Jackson, »Kierkegaard's Metatheology,« Faith and Philosophy 4.1 Society of Christian Philosophers, 1987, pp.71-85.
- J.J. Davenport, \*The Meaning of Kierkegaard's Choice Between the Aesthetic and the Ethical: A Response to MacIntyre, \* Southwest Philosophy Review, Southwestern Philosophical Society, 1995, pp. 73-108.
- Cf. H. Frankfurt, The Freedom of the Will and the Concept of a Person, Journal of Philosophy 68.1, 1971, reprinted in H. Frankfurt, The Importance of What We Care About. Cambridge, Cambridge University Press, 1988, pp. 11-25.
- 9. Frankfurt, The Freedom of the Will and the Concept of a Person, pp. 78-85.
- 10. Such as by a critical interlocutor like Judge William, for example.
- Cf. Davenport, \*The Meaning of Kierkegaard's Choice Between the Aesthetic and the Ethical, esp. p. 91.
- These themes are pursued further in my paper \*Towards an Existential Virtue Ethics,\* forthcoming in Kierkegaard After MacIntyre, ed. Davenport & Rudd [mss under review].
   The references correspond to the following editions of Kierkegaard's works: CA, Soren Kierkegaard, The Concept of Anxiety, trans. R. Thomte & A.B. Anderson, Princeton NJ, Princeton University Press, 1980. CUP, Kierkegaard, Concluding Unscientific Postscript, trans H. & E. Hong, Princeton NJ, Princeton University Press, 1992. Kierkegaard, Either/Or, trans., W. Lowrie, Princeton, Princeton University Press, 1944. SV1, Kierkegaard's Samlede Verker, ed. A.B. Drachmann, J.L. Heiberg, & H.O. Lange, vol. I-XIV, Copenhagen, Gyldendalske Boghandels Forlag, 1901–1906.
- 14. In this respect, it is interesting that VH says that he is \*devout in my belief in authority but makes fun of blind obedience to human authority (CA 8: SV1 4, 280). Moreover, the new position on original sin which VH stakes out is undoubstably close in form, although not in specific content, to Kant's notion of the original predisposition to evil that corrupts each human person in turn, as laid out in his Religion within the Limits of Reason Alone. Cf. Philip Quinn's comparison of Kant and Kierkegaard on original sin in Quin, \*Original Sin, Radical Evil, and Moral Identity,\* Foith and Philosophy 1.2. (A 10.3. Society of Christian Philosophers 1984, pp. 188-202, and Vanessa Rumble's comparison of Kant and Haufmiensis in V. Rumble, \*The Oracle's Ambiguity: Freedom and Original Sin in Kierkegaard's The Concept of Anxiety, \* Soundings 75.4, 1992, pp. 605-625, see p. 608.
- 15. Later I will discuss a differing view put forward by Rumble in \*The Oracle's Ambiguity: Freedom and Original Sin in Kierkegaard's The Concept of Anxiety.\* But, in initial support of my reading, note that the ethical significance of the reinterpretation of

- nym's) motive for 'the work as a whole,' p. 617. dom later in the text, which Rumble herself regards as Kierkegaard's (not the pseudooriginal sin fits with »Haufniensis's denunciation of spiritlessness» in Danish Christen-
- 6. sinfulness is a state in which sin is both volitionally possible and more probable than a synthetic rather than logical one: it is willional possibility. In this synthetic sense, without this state. Hence VH's description of sinfulness as a 'real' possibility (see the gaard's sense, i.e. more or less logically possible. The modal sense at stake here is thus As Thomte explains it, \*'Sin' signifies actual sin; 'sinfulness' expresses the greater pos (CA 232, note 20). Note that logical possibilities can never be quantitative in Kierke. sibility for new and actual sins, a possibility that never constitutes the actuality of sin-
- 17. So the free qualitative leaps it involves - the origin of sin - cannot be explained psychologically. Reductive psychological interpretations of sin - for example, \*as a disthink of sin this way soccurs more or less in every human life before the ethical maniease, an abnormality, a poison, or a disharmony, are aesthetic, and the tendency to fests itself= (CA 15; SV1 4, 287).
- ŏ as something 'other' than theoretical modes of possibility - i.e. as something else than psychological theory of actual sin cannot grasp it qua sin. This conception of freedom free act, its modes of possibility may be apprehended in psychological theory, but a term] or 'point of view' (Kierkegaard's term): from the theoretical standpoint, we Palo Alto, Stanford University Press, 1993. grasp only its possibility, which is precisely not to grasp it qua freedom. Since sin is a ian view, freedom can be grasped only from an essentially practical 'standpoint' [Kant' A deeper metaphysical idea underlies this point, as another passage makes clear: »freehere psychology can intrude only through a misunderstanding. (CA 23; SV1 4, 295) Thus The second [i.e. religious] ethics has the actuality of sin within its scope, and developed by Jean-Luc Nancy in The Experience of Freedom, trans. Bridget McDonald the correlate of logical necessity, causal necessity, etc. — has recently been rigorously dom is never possible; as soon as it is, it is actual. (CA 22; SV1 4, 294). On this Kant-
- 19, rightly and profoundly to oneself that what has happened to one human being can being likes a person suffering from guilt: sympathy is true only when one admits stance, in Chapter II, VH argues that is \*cowardly sympathy\* to thank God \*for not There are hints of the decisive influence of this agape-ethics later in the text: for inhappen to all. (CA 54; SV1 4, 325).
- 20 that there are arguments to reconstruct here. Press, 1998, pp. 308-328, p. 315. However, Marino also says that the text contains See G. Marino, Anxiety in The Concept of Anxiety, The Cambridge Companion to every little in the way of positive arguments. On the contrary, I am trying to show Kierkegaard, ed., Hannay & Marino, Cambridge, New York, Cambridge University
- 21. simultaneously himself and the whole race, and in such a way that the whole race (...) this is what is essential to human existence; that man is individuum and as such participates in the individual and the individual in the whole races (CA 28, SVI 4, 300)
- 22 13 begins anew• (CA 33; SVI 4, 305). In a note, VH adds: "As the history of the race moves on, the individual continually
- . Hence the individual has a history. But if the individual has a history, then the race also has a history\* (CA 29; SV1 4, 301).
- the history of the race is not that of the individual and more than the history of the individual is that of the races (CA 31; SV1.4, 303).

- 25. gression of the race, may express itself as a greater or lesser disposition in the particu-This implication is especially apparent in one of VH's defenses against being labeled tion, which does not constitute the concept of guilts (CA 37-38; SVI 4, 309, my Pelegian: The race has its history, within which sinfulness continues to have its quanlar individual who by his act assumes it, but this is a more or less quantitative determinatitative determinability (...) It is no doubt true that this sinfulness, which is the pro-
- 26. Such as the command to love or care about every other individual, and the command to love oneself.
- 27. That this ethics has a ground in the nature of persons further suggests that it is not (merely or purely) a 'divine command' ethics, but that is an argument for another paper.
- 28 See the remarks on p.34: A species of animals, although it has preserved itself through thousands of generations, never brings forth an individual.
- 29 »If any other individual in the race can by its history have significance in the history concept of history is canceled, i.e. history has come to an end in the very moment it of the race, then Adam has it also. If Adam has it only by virtue of that first sin, the began• (CA 33; SVI 4, 305).
- 30 ness through generation (...). Yet it is said only that sinfulness moves through quanti-For example, VH writes, with particular reference to Eve, that alt is the fact of being there is no sexuality, and without sexuality, no history\* (CA 49; SVI 4, 319). bility of sin: \*the view presented in this work does not deny the propagation of sinfultion' or external difference that historical progression makes in the predisposing possihistorical, not innate: VH takes this as explaining an essential aspect of the 'quantita-4, 318), which might sound like innate sinfulness in human finitude. But in fact it is derived that predisposes the individual, yet without making him guilty« (CA 47; SV) recognition and sexuality: . So sinfulness is by no means sensuousness, but without sin key quantitative category through which sinfulness develops historically is gendercauve categories, whereas sin enters by the qualitative leaps (CA 47; SV1 4, 318). The
- 31. Philosophy 1.2. Society of Christian Philosophers, 1984, pp. 160-175, p. 161.
  Dupré, \*Of Time and Eternity in Kierkegaard's 'Concept of Anxiety,' \* p. 166. L. Dupré, »Of Time and Eternity in Kierkegaard's 'Concept of Anxiety,' Faith and
- 33 J.S. Tanner, Anxiety in Eden: A Kierkegaardian Reading of 'Paradise Lost,' Oxford, Oxford University Press, 1992, p. 31.
- Rumble, The Oracle's Ambiguity, p. 606.
- Rumble, The Oracle's Ambiguity, p. 608, referring to CA, p. 73
- Rumble, \*The Oracle's Ambiguity, p. 619.
- As VH says, in the worst case, othe individual confounds himself with his historical knowledge of sinfulness (CA 75; SVI 4, 344), or sees himself as already participating
- On this point, also see the remark from JP I 91 (Pap. II A 18) n.d. 1837 (sec. xii. 28): to make the first step towards the goal foreshadowed by the unsettling presentiment fragile souls can easily be tempted to believe themselves guilty, to despair, and thereby by untimely suspicion (...) occasion an anxious consciousness in which innocent but Therefore one ought to be very careful with children, never to believe the worst and father's sense of being cursed for his sin. (...).. Doubtless Kierkegaard has in mind the anxiety produced in him by his own
- 39 sinfulness before the leap, it remains true that it is not essentially posited as such, for Thus VH qualifies: •Even when I say that for an individual sensuousness is posited as

the individual does not posit it or understand its (CA 77; SVI 4, 345-346). To be 'es-

- 6 spair, Milwaukee, Marquette University Press, 1996, p. 57. On this point, cfr. G. Beabout, Freedom and Its Misuses: Kierkegaard on Anxiety and De-
- 4 4 Marino, Anxiety in The Concept of Anxiety, p. 320.
- earnestness is not explicitly upbuilding): see Beabout. Freedom and Its Misuses, p. 27. I also think it is possible to resist Rumble's reading of the pseudonym's function in er, he is not a detached observer, but an earnest Christian observer (even though his of the book seriously - argues that athough Vigilius the watchman may be an observpsychologically and never annul the qualitative leaps (CA 74; SVI 4, 343). Note also to reach sinful acts themselves: sit should be remembered that, as always, I only speak its parameters of competence, as Haufniensis does by constantly reminding the reader psychological kind - which would otherwise be distortive and existentially dangerous possible instead to read Haufniensis as Kierkegaard's example of how an inquiry of the that Gregory Beabout - one of the few commentators who takes the pseudonymity that his analysis of the antecedents can never deny the additional necessity of the leap - can be legitimately done when explicit attention is constantly paid to keeping within The Concept of Anxiety - though she develops many interesting points in its favor. It is
- **4**3. \*Consequently, anxiety means two things; the anxiety in which the individual posits and enters in with sin\* (anxiety as a consequence) (CA 54; SVI 4, 325). sin by the qualitative leaps [the preceding anxiety] sand the anxiety that entered in
- 4 scribed in our existence) and yet 'posits the quality' of sinfulness, shaping the very From the draft of Concept of Anxiety (CA 184; Pap. V B 53:4 n.d. 1844). In other words cal evil that the originary disposition to sin must itself somehow be freely incorporated predisposition it presupposes. This is related to the paradox in Kant's account of radi the leap of first sin both actualizes the possibility of sinfulness (as if it were already in-
- The term is Hannay's: cfr. Hannay, Kierkegaard, p. 177.
- Tanner, Arctiety in Eden, p. 73.
- 4 4 5 See Davenport, The Meaning of Kierkegaard's Choice Between the Aesthetic and the Ethical, pp. 91f.
- It is interesting in this regard that Harry Frankfurt first developed his account of persomething above the psychosomatic unity of the human animal. physical, without more. Frankfurt's introduction of higher-order volition as the miss-Strawson that portrayed personhood simply as a synthesis of the psychical and the sonhood in terms of the hierarchical structure of the will in response to an account by ing element in this synthesis nicely parallels Kierkegaard's introduction of 'spirit' as
- **\$** in anxiety is not indifferent or neutral liberum arbitrium, so this comparison is not cormeans of a steadfast refusal of any concrete content, p. 166. But the sense of freedom necessity the sense of freedom turns into an empty feeling of possibility. Like the Stoic Dupré has suggested that this is meant to signify the arbitrariness of the romantic free consciousness in Hegel's Phenomenology of Mind, it asserts the infinite potential only by dom Kierkegaard rejects: »Without an awareness of its restricting finitude, that is, its
- 50 In this regard, cf. D. Breazeale, »Check or Checkmate: On the Finitude of the Ficht-Karl Ameriks & Dicter Sturma, Albany, SUNY Press, pp. 87-114. ean Self, The Modern Subject: Conceptions of the Self in Classical German Philosophy, ed
- 51. This idea is clearly related to the Fichtean concept of an Austoff: as Thomte explains Franz Baader's doctrine of the will and freedom rests on the assumption that the will

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can become conscious of its freedom and determination only through a choice necesthe aesthete in my earlier analysis of Either/Or II. these excitements or Ansiefe bring the primordial decision to a forced choice, as with sitated by external incitements of various kinds. (CA, 234, note 38). In other words,

- 52 This is the core of Piery's argument.
- Hannay, Kierkegaard, p. 164. He adds: matural in view of the human predisposition to texts, it seems to throw us back onto the Schellingian account of sinfulness as resultremain anchored in the psychosomatic. But while this gloss fits some passages in the ing from the chthonic in human nature.
- Hannay, Kierkegaard, p. 164.
- Tanner, Anxiety in Eden, p. 29.
- Marino, \*Anxiety in The Concept of Auxiety, p. 318
- Beabout, Freedom and Its Misuses, p. 58.
- group of modern theories of the person that recasts the Boethian notion of an 'indi-See A. MacIntyr, After Virtue, Second Ed., Notre Dame, University of Notre Dame that he exemplifies in his actions and which is grounded in his ultimate character is vidual essence' in narrative and interpersonal/hermeneutic terms. Scheler's concep-Tradition, where he writes: The self inhabits a character whose unity is given as the another example of what I mean by narrative essentialism. tion of each person's individuality as resting in the uniqueness of the personal 'style' [narrative] unity of characters (p. 217). I use the phrase 'narrative essentialism' for a Press, chapter 15, on \*The Virtues, the Unity of a Human Life, and the Concept of
- 59 cussed this comparison more fully in «Towards an Existential Virtue Ethics,» forth-Cft. Frankfurt, The Importance of What We Care About, pp. 80-94. I have discoming in Kierkegaam After MacIntyre.